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CLIQUE LADDERS IN THE GORDIAN GRAPH

ABSTRACT. Drawing inspiration from results of S. Baader, we present a new geometric pattern in the Gordian graph of knots. We show that any two vertices at distance m in the Gordian graph of knots can be connected by infinitely many internally vertex-disjoint paths of length m , such that for each $k \in \{1, 2, \dots, m-1\}$, the k -th vertices on these paths form a clique. The proof exploits a sufficient geometric condition for the non-splittability of links.

§1. INTRODUCTION

The *Gordian distance* $d_G(K, Q)$ between two tame knots K and Q is defined as the minimal number of crossing changes required to transform K into Q . The *Gordian graph* is the graph whose vertices are ambient isotopy classes of unoriented tame knots in S^3 , with two vertices connected by an edge if and only if the corresponding knots are related by a single crossing change (see [2]). We denote the Gordian graph by G .

In 2006, Baader [1] revealed a nontrivial geometric pattern in the Gordian graph: for every pair of knots K and Q with Gordian distance two, there exist infinitely many non-equivalent knots whose Gordian distance to both K and Q is one, see Fig. 1 (left). Stated differently, whenever two knots in the Gordian graph G are at Gordian distance exactly two, the intersection of their unit neighborhoods contains infinitely many knots. In 2012, Horiuchi and Ohyama [4] gave a two-fold generalization of Baader's result: crossing changes become C_k -moves for arbitrary $k \geq 3$, and unit neighborhoods are extended to neighborhoods of arbitrary radius. This generalization also extends the theorem of Horiuchi [3] on the Δ -move.

In this paper, following the approach in [5], we present a new pattern that extends Baader's result; see Fig. 1.

Key words and phrases: knot theory, Gordian graph, crossing change, non-splittability, satellite knots, incompressible tori.

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Theorem 1. For any two vertices K_0 and K_m at distance m in G , there exist infinitely many internally vertex-disjoint paths of length m connecting them, such that for each $k \in \{1, 2, \dots, m-1\}$ the collection of k -th vertices on these paths forms a clique.

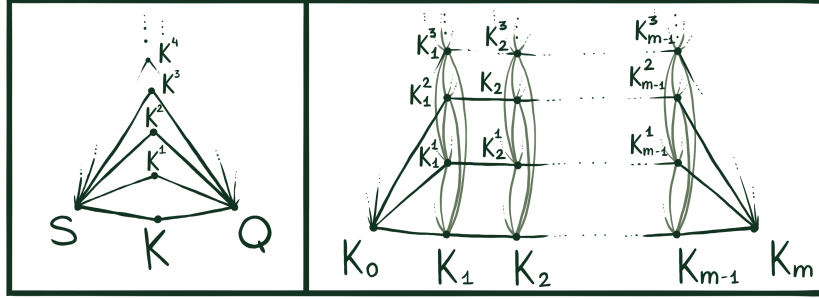


Figure 1. Baader's pattern and our pattern.

Before proving Theorem 1, we collect the necessary preliminaries in the next section.

§2. PRELIMINARIES

Definition 1. A knot K^* is a *satellite* of a nontrivial knot K if there exist a simple closed curve q lying in some solid torus V , not contained in any 3-ball inside V , and not isotopic to the core c of V , and an embedding $\varphi: V \rightarrow S^3$ such that the simple closed curve $\varphi(c) \subset S^3$ represents the ambient isotopy class of the knot K , and the simple closed curve $\varphi(q) \subset S^3$ represents the ambient isotopy class of the knot K^* . If K^* is a satellite of K , then K is called a *companion* of K^* .

Remark 1. Let k be a simple closed curve in S^3 representing the ambient isotopy class of the knot K , let $N(k)$ be a closed tubular neighborhood of k , and let T be an incompressible non-boundary-parallel torus in $S^3 \setminus \text{Int}(N(k))$. Suppose there exists an embedding $\varphi: V \rightarrow S^3$ of some solid torus V into S^3 such that $\varphi(\partial V) = T$ and the simple closed curve $\varphi(c) \subset S^3$, where c is the core of V , represents the ambient isotopy class of a nontrivial knot Q . In this case, Q is a companion of K .

Lemma 1 (see [5]). *Let W be a non-trivially knotted solid torus in S^3 , and let K be a knot in $\text{Int}(W)$. Then the following conditions are equivalent:*

- (1) *a meridian¹ curve of the solid torus W is linked² to K ;*
- (2) *the torus ∂W is incompressible in $S^3 \setminus K$.*

Definition 2. We say that a surface $H \subset S^3$ is an *unknotted torus with a hole* (or *unknotted one-holed torus*) if there exists an unknotted solid torus $V \subset S^3$ such that $H \subset \partial V$ and $\partial V \setminus H$ is an open disk.

A pair of simple closed curves lying in an unknotted one-holed torus H is called a *meridian-longitude pair* of H if it is a meridian-longitude pair of some unknotted torus that contains H .

Lemma 2 (see [5]). *Let K be a knot in S^3 , let H be an unknotted one-holed torus in $S^3 \setminus K$, and let $\{m_H, l_H\}$ be a meridian-longitude pair of H . If each of m_H and l_H is linked to K , then ∂H is linked to K .*

Lemma 3. *Let K_0, K_1, \dots, K_m be knots such that each K_i is obtained from K_{i-1} by a single crossing change for $i = 1, 2, \dots, m$. Then K_0 admits a special diagram locally structured as shown in Fig. 2. The simultaneous changes of the crossings labeled $\boxed{c_1}, \boxed{c_2}, \dots, \boxed{c_i}$ result in a diagram of K_i (see Fig. 3).*

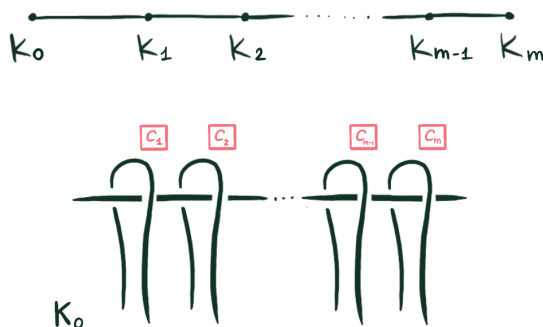


Figure 2. A part of a special diagram of K_0 .

¹Recall that an essential simple closed curve in the torus $\partial(D^2 \times S^1)$ is called a *meridian curve* if it bounds a disk in the solid torus $D^2 \times S^1$.

²For a link $K \cup L$ in S^3 , we say K is *unlinked to L* if there exists a 2-sphere in $S^3 \setminus (K \cup L)$ that separates K and L , and otherwise K is *linked to L* .

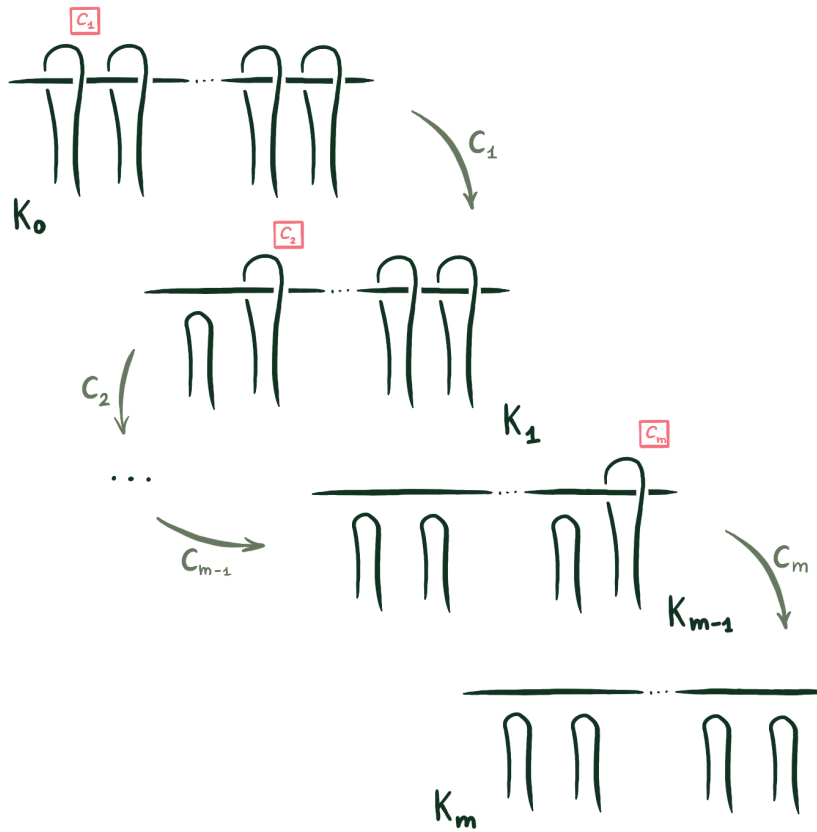


Figure 3. The simultaneous changes of crossings.

Proof of Lemma 3. We introduce an auxiliary operation on knots, which we call a surgery twist. Let $k \subset S^3$ be a knot, and let $D \subset S^3$ be an embedded disk such that k intersects D transversely in exactly two points, both lying in the interior of D . The knot obtained by performing $+1$ -framed surgery along the trivial knot ∂D is referred to as the result of a *surgery twist* of k along D . As shown in Fig. 4, two knots are related by a single crossing change if and only if they are related by a single surgery twist along some disk.

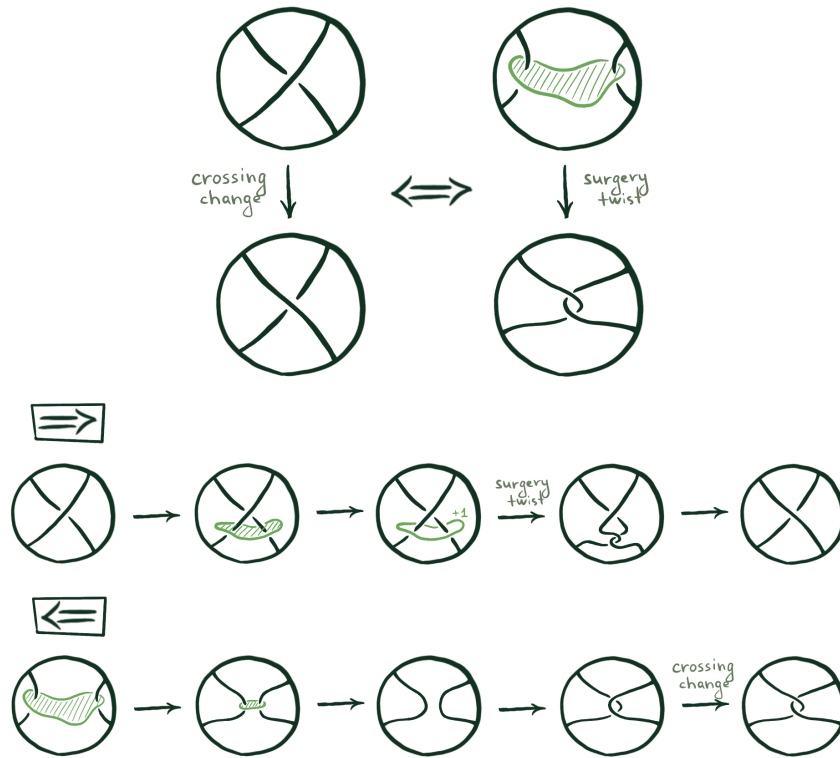


Figure 4. The crossing change is equivalent to the surgery twist.

Let $k_0 \subset S^3$ be a simple closed curve representing the ambient isotopy class of the knot K_0 . Since K_1 differs from K_0 by a single crossing change, there exists an embedded disk $D_1 \subset S^3$ intersecting k_0 transversely in exactly two points (both lying in the interior of D_1) such that performing $+1$ -framed surgery along ∂D_1 yields a curve representing K_1 . Applying an ambient isotopy, we can localize D_1 within a small 3-ball B . A surgery twist along D_1 changes the crossing and yields a curve k_1 that represents K_1 , as shown in Fig. 5.

Since K_2 differs from K_1 by a single crossing change, there exists an embedded disk $D_2 \subset S^3$ intersecting k_1 transversely in exactly two points (both lying in the interior of D_2), such that performing a surgery twist

along D_2 yields a curve representing K_2 , see Fig. 6 (a). We assume without loss of generality that the intersection points of D_2 and k_1 lie outside B . If D_2 meets B , we use an ambient isotopy that keeps k_1 fixed to push D_2 out of B , see Fig. 6 (b) (note that $B \setminus \text{Int}(N(k_1))$ is a handlebody). Next, we perform an ambient isotopy of D_2 that keeps B fixed and localizes D_2 within a small 3-ball B_1 centered at one of the two points of $D_2 \cap k_1$, see Fig. 6 (c). This isotopy takes k_1 to a curve k'_1 .

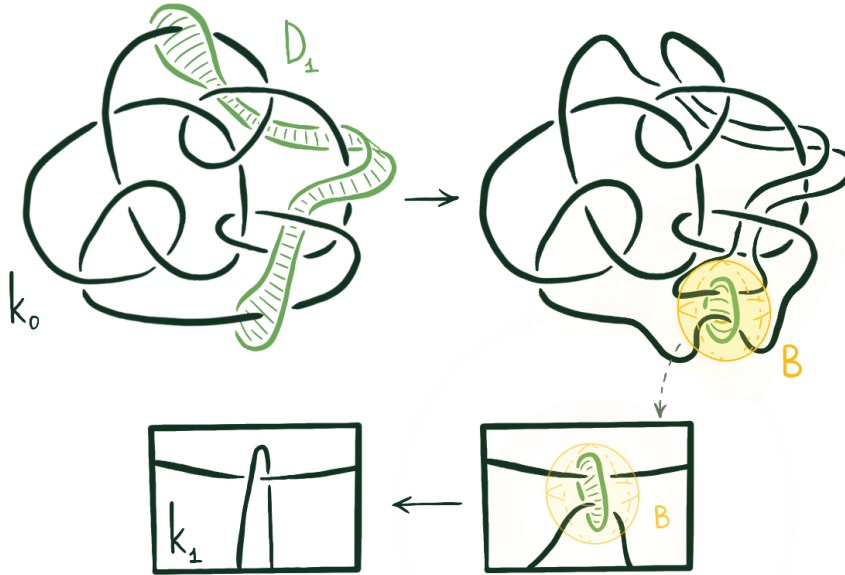


Figure 5. The isotopy and the surgery twist.

Subsequently, we slide B_1 along the curve k'_1 by an ambient isotopy until it enters the ball B , see Fig. 6 (d). This isotopy takes k'_1 to a curve k''_1 . Whenever k''_1 intersects B in a collection of arcs that are disjoint from D_2 , we may remove each such arc from B by applying the same handlebody-argument. Finally, a surgery twist along D_2 produces a curve k_2 representing K_2 , see Fig. 6 (e) and Fig. 6 (f).

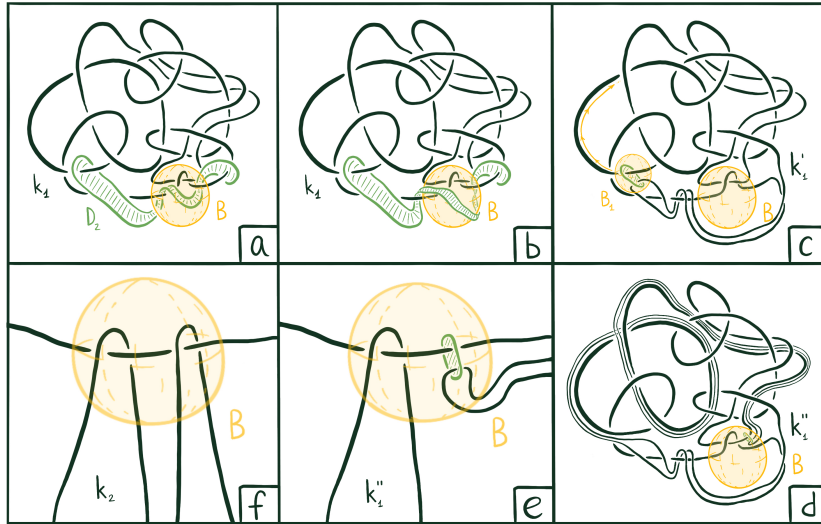


Figure 6. The Next Step.

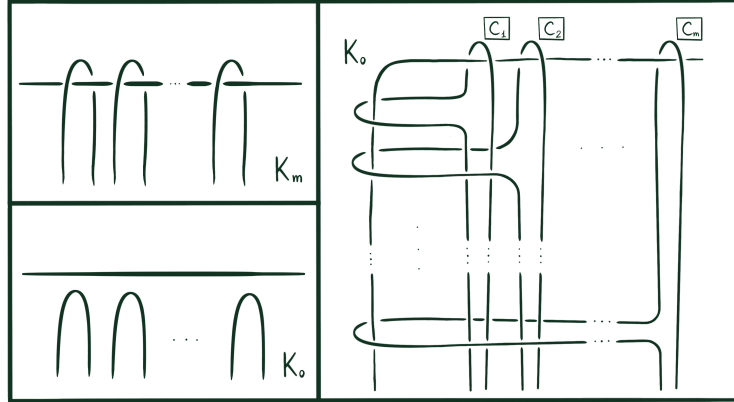


Figure 7. The final isotopy after the crossing changes.

Proceeding similarly, we obtain a curve k_m representing K_m . We change the appropriate crossings in B and perform elementary isotopies to obtain a curve representing K_0 , thereby producing the required special diagram of K_0 , see Fig. 7 (by reversing the order of the isotopies described above, one can verify that the intermediate diagrams in Fig. 3 represent the knots $K_{m-1}, K_{m-2}, \dots, K_1$). \square

§3. PROOF OF THE MAIN THEOREM

Proof of Theorem 1. Let K_0, K_1, \dots, K_m be knots that form a path in G connecting the vertices corresponding to K_0 and K_m . By Lemma 3, the knots K_0, K_1, \dots, K_m admit a sequence of consistent diagrams, as illustrated in Fig. 3. The structure of these diagrams allows us to construct the family of knots $\{K_i^j\}_{i=1, j=1}^{m-1, \infty}$ diagrammatically.

First, we describe the knots $K_1^1, K_2^1, \dots, K_{m-1}^1$ diagrammatically. For this purpose, we construct an auxiliary 2-tangle P_1° in the following manner. Schubert's Theorem [6, Satz 6] implies that for any knot Q , the set $C(Q)$ of its companions is finite. Take P_1 to be an arbitrary prime knot outside the finite union $\bigcup_{i=0}^m C(K_i)$. Cut P_1 at a point to obtain a P_1 -knotted arc, then place this arc in a 3-ball so that only its endpoints lie on the boundary; this yields a 1-tangle. Doubling the strand of this 1-tangle by a parallel shift along a continuous normal vector field yields the required 2-tangle P_1° (see Fig. 8).



Figure 8. The auxiliary tangle P_1° obtained from the knot P_1 .

For $i = 1, 2, \dots, m - 1$, the relevant part of the diagram of K_i^1 we are now constructing is shown in Fig. 9. The diagram contains the diagram of the 2-tangle P_1° constructed earlier, along with crossings labeled $\boxed{c_1}, \boxed{c_2}, \dots, \boxed{c_m}$ and a single crossing labeled $\boxed{1}$. Outside the indicated region, the diagram of K_i^1 agrees with the initial diagram of K_i .

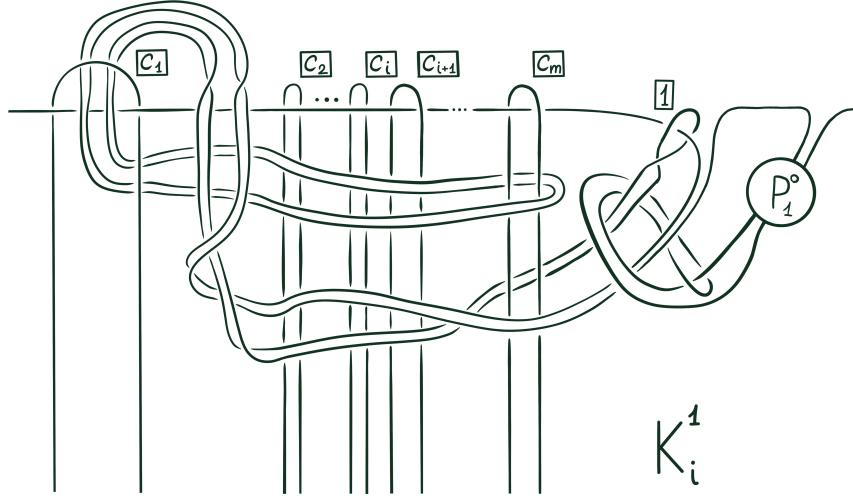


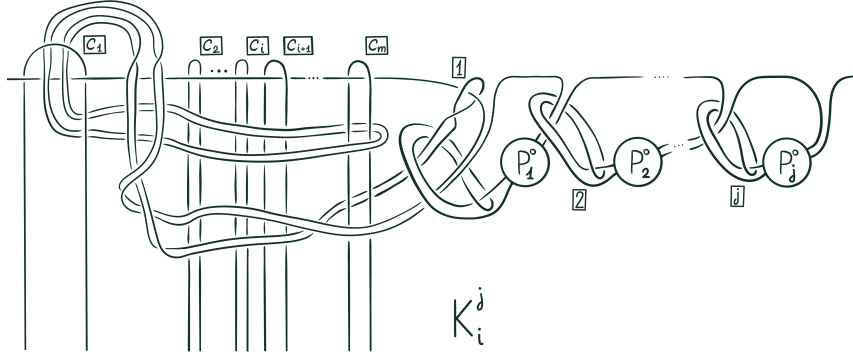
Figure 9. A part of the diagram of K_i^1 .

Having reached this point, we are in a position to diagrammatically describe the knots $K_1^j, K_2^j, \dots, K_{m-1}^j$ for $j \geq 2$. Assume that all knots in the family $\{K_s^t\}_{s=1, t=1}^{m-1, j-1}$ have been constructed in the previous steps. We then fix an arbitrary prime knot P_j outside the finite union

$$\bigcup_{s=1, t=1}^{m-1, j-1} C(K_s^t) \cup \bigcup_{i=0}^m C(K_i).$$

As P_1^circ was formed from P_1 , we now form a 2-tangle P_j^circ from P_j .

For $i = 1, 2, \dots, m - 1$, the relevant part of the diagram of K_i^j we are now constructing is shown in Fig. 10. The diagram contains diagrams of 2-tangles $P_1^circ, P_2^circ, \dots, P_j^circ$ constructed earlier, along with crossings labeled c_1, c_2, \dots, c_m and crossings labeled $1, 2, \dots, j$. Outside the indicated region, the diagram of K_i^j agrees with the initial diagram of K_i .

Figure 10. A part of the diagram of K_i^j .

For each $i \in \{1, 2, \dots, m-2\}$ and each $j \geq 1$, the diagram of K_i^j is obtained from the diagram of K_{i+1}^j by a crossing change at the crossing labeled $\boxed{c_{i+1}}$. Additionally, a diagram of K_0 is obtained from the diagram of K_1^j by a crossing change at the crossing labeled $\boxed{c_1}$ and a diagram of K_m is obtained from the diagram of K_{m-1}^j by a crossing change at the crossing labeled $\boxed{c_m}$. Moreover, for each $i \in \{1, 2, \dots, m-1\}$ and each $a > b \geq 1$, a diagram of K_i^b is obtained from the diagram of K_i^a by a crossing change at the crossing labeled $\boxed{b+1}$. Finally, for each $i \in \{1, 2, \dots, m-1\}$ and each $j \geq 1$, a diagram of K_i is obtained from the diagram of K_i^j by a crossing change at the crossing labeled $\boxed{1}$.

We are now in a position to show that all knots in the family

$$\{K_s^t\}_{s=1, t=1}^{m-1, \infty} \cup \{K_i\}_{i=0}^m$$

are pairwise distinct. Indeed, for any fixed $j \geq 1$, the knots

$$K_0, K_1^j, K_2^j, \dots, K_{m-1}^j, K_m$$

are all distinct; otherwise, we have $d(K_0, K_m) < m$ and reach a contradiction with the assumption of the theorem. It remains to show that for any quadruple (j, i, a, b) such that

$$j \geq 1, \quad i, a \in \{1, 2, \dots, m-1\} \quad \text{and} \quad b \in \{1, 2, \dots, j-1\}$$

the knot K_i^j differs from the knot K_a^b and from the knots K_1, K_2, \dots, K_{m-1} .

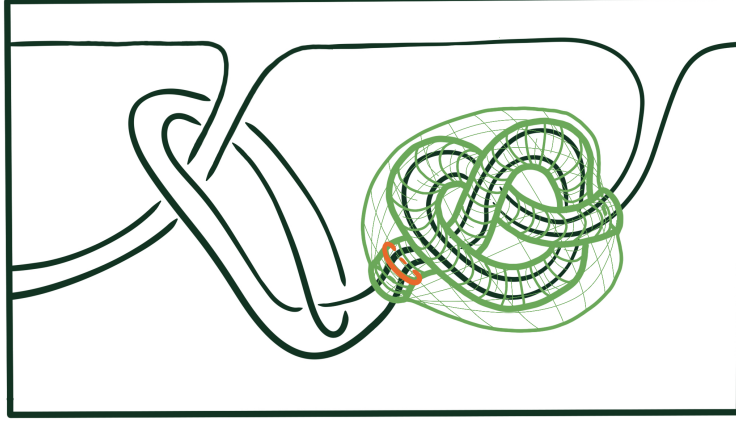


Figure 11. The green knotted torus is incompressible in $S^3 \setminus \text{Int}(N(K_i^j))$ if and only if the orange meridian curve is linked to K_i^j .

The construction yields a solid torus $W \subset S^3$ that is knotted into the nontrivial knot P_j and contains K_i^j , see Fig. 11. Note that the torus ∂W is a non-boundary-parallel torus in $S^3 \setminus \text{Int}(N(K_i^j))$, where $N(K_i^j)$ denotes the closed tubular neighborhood of K_i^j . Indeed, each meridian curve of ∂W has zero linking number with K_i^j . If ∂W is incompressible in $S^3 \setminus \text{Int}(N(K_i^j))$, then by Remark 1 the knot P_j is a companion of K_i^j . Since P_j is not a companion of any knot from the family

$$\{K_s^t\}_{s=1, t=1}^{m-1, j-1} \cup \{K_i^j\}_{i=0}^m$$

it suffices to show that ∂W is incompressible in $S^3 \setminus \text{Int}(N(K_i^j))$.

The incompressibility of ∂W in $S^3 \setminus \text{Int}(N(K_i^j))$ follows from the incompressibility of ∂W in $S^3 \setminus K_i^j$. By Lemma 1, ∂W is incompressible in $S^3 \setminus K_i^j$ if and only if a meridian curve of ∂W is linked to K_i^j , see Fig. 11. To see that a meridian curve is linked to K_i^j , we apply Lemma 2 step by step. Our first step is to locate an unknotted one-holed torus H in $S^3 \setminus K_i^j$ such that ∂H is a meridian of ∂W , see Fig. 12 (top). At this point, Lemma 2 reduces the original problem to checking that each element of a meridian-longitude

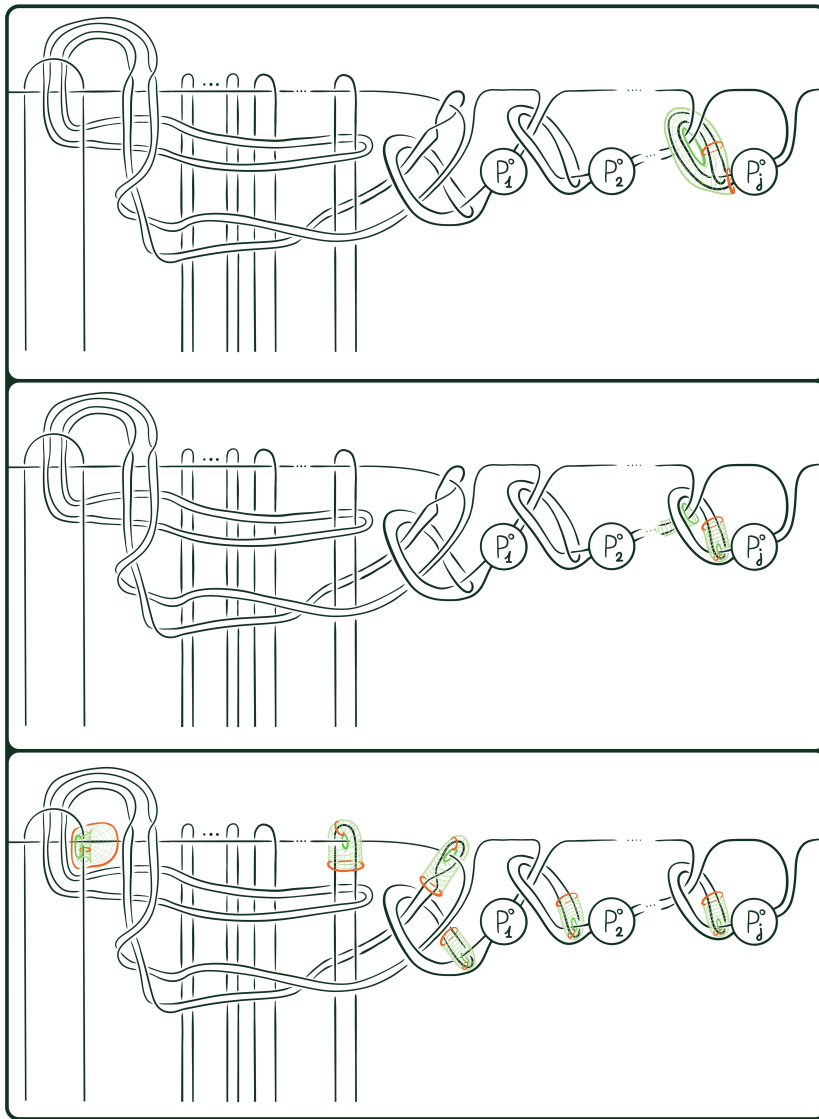


Figure 12. The Process.

pair of H is linked to K_i^j . We perform two further steps, constructing for each of these curves a suitable one-holed torus in $S^3 \setminus K_i^j$, and proceed in the same manner. As illustrated in Fig. 12, this process always terminates. After finitely many steps, the required curves have a nonzero linking number with K_i^j , see Fig. 12 (bottom). Thus, we have shown that the knot K_i^j differs from the knot K_a^b and from the knots K_1, K_2, \dots, K_{m-1} .

Note that for each $j \geq 1$, the knots $K_0, K_1^j, \dots, K_{m-1}^j, K_m$ form a path in G connecting the vertices corresponding to K_0 and K_m . We denote this path by α_j . For distinct $i, j \geq 1$, the paths α_i and α_j are internally vertex-disjoint. Furthermore, for each $k \in \{1, 2, \dots, m-1\}$, the collection of k -th vertices on these paths forms a clique. This completes the proof. \square

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