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HOMOTOPY SIMILARITY OF MAPS

ABSTRACT. Given based cellular spaces X and Y , X compact, we define a sequence of increasingly fine equivalences on the based-homotopy set $[X, Y]$.

§1. INTRODUCTION

Let X and Y be based cellular spaces (i.e., CW-complexes), with X compact. Let Y^X be the set of based continuous maps $X \rightarrow Y$ and $\langle Y^X \rangle$ be the free abelian group associated with Y^X . An element $A \in \langle Y^X \rangle$ is called an *ensemble* and has the form

$$A = \sum_i u_i \langle a_i \rangle, \quad (1)$$

where $u_i \in \mathbb{Z}$ and $a_i \in Y^X$. A *subspace* of X is a subset containing the basepoint. Let $\text{Sub}_r(X)$ be the set of subspaces $T \subseteq X$ containing at most r points distinct from the basepoint. Introduce the subgroup

$$\langle Y^X \rangle^{(r+1)} = \{ A : A|_T = 0 \text{ in } \langle Y^T \rangle \text{ for all } T \in \text{Sub}_r(X) \} \subseteq \langle Y^X \rangle.$$

We have

$$\langle Y^X \rangle = \langle Y^X \rangle^{(0)} \supseteq \langle Y^X \rangle^{(1)} \supseteq \dots$$

For ensembles $A, B \in \langle Y^X \rangle$, let

$$A \stackrel{r}{=} B$$

mean that $B - A \in \langle Y^X \rangle^{(r+1)}$.

For maps $a, b \in Y^X$, we say that a is *r-similar* to b , written

$$a \stackrel{r}{\sim} b,$$

if there exists an ensemble $A \in \langle Y^X \rangle$ of the form (1) with all $a_i \sim a$ (‘ \sim ’ stands for ‘based homotopic’) and such that $A \stackrel{r}{=} \langle b \rangle$. A simple example is given in Section 3.

Our main results state that the relation $\stackrel{r}{\sim}$ is an equivalence (Theorem 8.1) and respects homotopy (Theorem 5.2). It follows that we get a sequence of increasingly fine equivalences on the based-homotopy set $[X, Y]$.

We conjecture that, for 0-connected Y , a map is r -similar to the constant map if and only if it lifts to the classifying space of the $(r+1)$ th term of the lower central series of the loop group of Y .

A related notion is that of a homotopy invariant of finite order [4, 5]. A function $f: [X, Y] \rightarrow L$, where L is an abelian group, is called an invariant of *order* at most r if whenever an ensemble $A \in \langle Y^X \rangle$ of the form (1) satisfies $A \stackrel{r}{=} 0$ we have

$$\sum_i u_i f([a_i]) = 0.$$

It is clear that $f([a]) = f([b])$ if $a \stackrel{r}{\sim} b$ and f has order at most r . In §11, we give an example of two maps that are not 2-similar but cannot be distinguished by invariants of order at most 2. In the stable dimension range, invariants of order at most r were characterized in a way similar to our conjecture about r -similarity [4].

The relation between r -similarity and finite-order homotopy invariants is similar to that between n -equivalence and finite-degree invariants in knot theory [1, 2]. The example of §11 is similar to that of [2, Remark 10.8].

§2. PRELIMINARIES

By a *space* we mean a based space (unless the contrary is stated explicitly). The basepoint of a cellular space is a vertex. The basepoint of a space X is denoted by \lrcorner_X or \lrcorner . A *subspace* is a subset containing the basepoint. A *cover* is a cover by subspaces. A *map* is a based continuous map. The constant map $X \rightarrow Y$ is denoted by \lrcorner_Y^X or \lrcorner . A *homotopy* is a based homotopy.

For a subspace $Z \subseteq X$, $\text{in}: Z \rightarrow X$ is the inclusion. A wedge of spaces comes with the inclusion maps (i.e., coprojections):

$$\text{in}_k: X_k \rightarrow X_1 \vee \dots \vee X_n.$$

Maps $a_k: X_k \rightarrow Y$ form the map

$$a_1 \overline{\vee} \dots \overline{\vee} a_n: X_1 \vee \dots \vee X_n \rightarrow Y.$$

The same notation is used for homotopy classes.

Write $a \sim_Z b$ to mean $a|_Z \sim b|_Z$. Similarly, equality of restrictions to a subset C is denoted by the symbol ' $=|_C$ '.

For a set E , the associated abelian group $\langle E \rangle$ is freely generated by the elements $\langle e \rangle$, $e \in E$. A function $t: E \rightarrow F$ between two sets induces the

homomorphism

$$\langle t \rangle: \langle E \rangle \rightarrow \langle F \rangle, \quad \langle e \rangle \mapsto \langle t(e) \rangle.$$

For a cover Γ of a space X , we put

$$\Gamma(r) = \{ \{ \lhd \} \cup G_1 \cup \dots \cup G_s \subseteq X : G_1, \dots, G_s \in \Gamma, 0 \leq s \leq r \}.$$

For ensembles $A, B \in \langle Y^X \rangle$, the formula

$$A \stackrel{r}{\underset{\Gamma}{=}} B$$

means that $A =|_W B$ in $\langle Y^W \rangle$ for all $W \in \Gamma(r)$.

The symbol ‘?’ denotes a placeholder for functions: for example, the expression $?^2: \mathbb{R} \rightarrow \mathbb{R}$ designates the function $x \mapsto x^2$.

§3. A SIMPLE EXAMPLE

Fix $r \geq 0$. For $d = (d_1, \dots, d_{r+1}) \in \{0, 1\}^{r+1} \subseteq \mathbb{Z}^{r+1}$, put

$$|d| = d_1 + \dots + d_{r+1}.$$

Consider a wedge of spaces

$$W = U_1 \vee \dots \vee U_{r+1} \vee V.$$

Introduce the maps

$$\Lambda(d) = \lambda_1(d_1) \vee \dots \vee \lambda_{r+1}(d_{r+1}) \vee \text{id}_V: W \rightarrow W, \quad d \in \{0, 1\}^{r+1},$$

where the map $\lambda_k(e): U_k \rightarrow U_k$, for $e \in \{0, 1\}$, is id if $e = 1$ and \lhd if $e = 0$.

Lemma 3.1. *Let X and Y be spaces and $p: X \rightarrow W$ and $q: W \rightarrow Y$ be maps. Consider the ensemble*

$$A = \sum_{d \in \{0, 1\}^{r+1}} (-1)^{|d|} \langle a(d) \rangle \in \langle Y^X \rangle,$$

where $a(d)$ is the composition

$$a(d): X \xrightarrow{p} W \xrightarrow{\Lambda(d)} W \xrightarrow{q} Y.$$

Then $A \stackrel{r}{=} 0$.

Proof. Take $T \in \text{Sub}_r(X)$. There is an index k such that

$$p(T) \cap \text{in}_k(U_k) = \{ \lhd|_W \}.$$

Then $a(d)|_T$ does not depend on d_k . We get

$$A|_T = \sum_{d \in \{0, 1\}^{r+1}} (-1)^{|d|} \langle a(d)|_T \rangle = 0. \quad \square$$

Example. Consider the wedge

$$W = S^{n_1} \vee \dots \vee S^{n_{r+1}}$$

$(n_1, \dots, n_{r+1} \geq 1)$. Put $m = n_1 + \dots + n_{r+1} - r$ and let $p: S^m \rightarrow W$ be a map with

$$[p] = [\dots [[\text{in}_1], [\text{in}_2]], \dots, [\text{in}_{r+1}]]$$

(the iterated Whitehead product) in $\pi_m(W)$. We show that $\lhd \sim^r p$. Consider the maps

$$a(d): S^m \xrightarrow{p} W \xrightarrow{\Lambda(d)} W, \quad d \in \{0, 1\}^{r+1}.$$

Put $1_{r+1} = (1, \dots, 1) \in \{0, 1\}^{r+1}$. By Lemma 3.1,

$$\sum_{d \in \{0, 1\}^{r+1} \setminus \{1_{r+1}\}} (-1)^{r-|d|} \langle a(d) \rangle \stackrel{r}{=} \langle a(1_{r+1}) \rangle.$$

All $a(d)$ on the left side are homotopic to \lhd . On the right, $a(1_{r+1}) = p$ because $\Lambda(1_{r+1}) = \text{id}$. Thus $\lhd \sim^r p$.

§4. EQUIPMENT OF A CELLULAR SPACE

Let Y be a compact *unbased* cellular space. In this section, we turn off our convention that *maps* and *homotopies* preserve basepoints.

Lemma 4.1. *There exist homotopies*

$$q_t: Y^2 \rightarrow Y \quad \text{and} \quad p_t: Y^2 \rightarrow [0, 1], \quad t \in [0, 1],$$

such that

$$q_0(z, y) = y, \quad q_t(z, z) = z, \quad p_0(z, y) = 0, \quad p_t(z, z) = t, \quad (2)$$

and, for any $(z, y) \in Y^2$ and $t \in [0, 1]$, one has

$$p_t(z, y) = 0 \quad \text{or} \quad q_t(z, y) = z. \quad (3)$$

Roughly speaking, the inclusions $\{z\} \rightarrow Y$, $z \in Y$, form a parametric cofibration. We say that (q_t, p_t) is an *equipment* of Y .

Proof (after [6, Exemple on p. 490]). By [3, Corollary A.10], Y is an ENR. Embed it to \mathbb{R}^n and choose its neighbourhood $U \subseteq \mathbb{R}^n$ and a retraction $r: U \rightarrow Y$. Choose $\epsilon > 0$ such that U includes all closed balls of radius ϵ with centres in Y . Consider the homotopy $l_t: (\mathbb{R}^n)^2 \rightarrow \mathbb{R}^n$, $t \in [0, 1]$,

$$\begin{aligned} l_t(z, y) &= y + \min(\epsilon t / |z - y|, 1)(z - y), & z \neq y, \\ l_t(z, z) &= z. \end{aligned}$$

Put

$$q_t(z, y) = r(l_t(z, y)) \quad \text{and} \quad p_t(z, y) = \max(t - |z - y|/\epsilon, 0). \quad \square$$

Corollary 4.2. *One can continuously associate to each path $v: [0, 1] \rightarrow Y$ a homotopy $E_t(v): Y \rightarrow Y$, $t \in [0, 1]$, such that*

$$E_0(v) = \text{id} \quad \text{and} \quad E_t(v)(v(0)) = v(t).$$

Proof. Using Lemma 4.1, put

$$E_t(v)(y) = \begin{cases} q_t(v(0), y) & \text{if } p_t(v(0), y) = 0, \\ v(p_t(v(0), y)) & \text{if } q_t(v(0), y) = v(0). \end{cases} \quad \square$$

§5. COHERENT HOMOTOPIES

Let X and Y be cellular spaces, X compact.

Lemma 5.1. *Consider an ensemble*

$$A = \sum_i u_i \langle a_i \rangle \in \langle Y^X \rangle,$$

and maps $b, \tilde{b} \in Y^X$, $b \sim \tilde{b}$. Then there exist maps $\tilde{a}_i \in Y^X$, $\tilde{a}_i \sim a_i$, such that the ensemble

$$\tilde{A} = \sum_i u_i \langle \tilde{a}_i \rangle$$

has the following property: if $A = |_Z \langle b \rangle$ for some subspace $Z \subseteq X$, then $\tilde{A} = |_Z \langle \tilde{b} \rangle$.

Proof. We have a homotopy $h_t \in Y^X$, $t \in [0, 1]$, such that $h_0 = b$ and $h_1 = \tilde{b}$. Replace Y by a compact cellular subspace that includes the images of all a_i and h_t .

For $x \in X$, introduce the path $v_x: [0, 1] \rightarrow Y$, $v_x(t) = h_t(x)$. We have $v_x(0) = b(x)$ and $v_x(1) = \tilde{b}(x)$. For a subspace $Z \subseteq X$, introduce the functions $e_t^Z: Y^Z \rightarrow Y^Z$, $t \in [0, 1]$,

$$e_t^Z(d)(x) = E_t(v_x)(d(x)), \quad x \in Z, \quad d \in Y^Z,$$

where E_t is given by Corollary 4.2. For $d \in Y^Z$, we have the homotopy $e_t^Z(d) \in Y^Z$, $t \in [0, 1]$. The diagram

$$\begin{array}{ccc} Y^X & \xrightarrow{e_t^X} & Y^X \\ ?|_Z \downarrow & & \downarrow ?|_Z \\ Y^Z & \xrightarrow{e_t^Z} & Y^Z \end{array}$$

is commutative. We have $e_0^Z = \text{id}$ because

$$e_0^Z(d)(x) = E_0(v_x)(d(x)) = d(x).$$

We have $e_1^X(b) = \tilde{b}$ because

$$e_1^X(b)(x) = E_1(v_x)(b(x)) = E_1(v_x)(v_x(0)) = v_x(1) = \tilde{b}(x).$$

Put $\tilde{a}_i = e_1^X(a_i)$. Since $a_i = e_0^X(a_i)$, we have $\tilde{a}_i \sim a_i$. We have

$$(\langle \tilde{b} \rangle - \tilde{A})|_Z = \langle e_1^X \rangle(\langle b \rangle - A)|_Z = \langle e_1^Z \rangle(\langle b \rangle - A)|_Z.$$

Thus $A = |_Z \langle b \rangle$ implies $\tilde{A} = |_Z \langle \tilde{b} \rangle$. □

Theorem 5.2. *Let maps $a, b, \tilde{a}, \tilde{b} \in Y^X$ satisfy*

$$\tilde{a} \sim a \stackrel{r}{\sim} b \sim \tilde{b}.$$

Then $\tilde{a} \stackrel{r}{\sim} \tilde{b}$.

Proof. By the definition of similarity, it suffices to show that $a \stackrel{r}{\sim} \tilde{b}$. We have an ensemble

$$A = \sum_i u_i \langle a_i \rangle \in \langle Y^X \rangle,$$

where $a_i \sim a$, such that $A \stackrel{r}{=} \langle b \rangle$. By Lemma 5.1, there is an ensemble

$$\tilde{A} = \sum_i u_i \langle \tilde{a}_i \rangle \in \langle Y^X \rangle,$$

where $\tilde{a}_i \sim a_i$, such that $\tilde{A} \stackrel{r}{=} \langle \tilde{b} \rangle$. Since $a_i \sim a$, we have shown that $a \stackrel{r}{\sim} \tilde{b}$. □

§6. UNDERLAYING A COVER

Let X and Y be cellular spaces, X compact.

Lemma 6.1. *Consider an ensemble*

$$A = \sum_i u_i \langle a_i \rangle \in \langle Y^X \rangle.$$

Then there exist maps $\tilde{a}_i \in Y^X$, $\tilde{a}_i \sim a_i$, such that the ensemble

$$\tilde{A} = \sum_i u_i \langle \tilde{a}_i \rangle$$

has the following property: if $A|_Z = 0$ for some subspace $Z \subseteq X$, then $\tilde{A}|_V = 0$ for some neighbourhood $V \subseteq X$ of Z .

Proof. Replace Y by a compact cellular subspace that includes the images of all a_i . We will use the equipment (q_t, p_t) given by Lemma 4.1.

Let the index i for a_i runs over $1, \dots, n$. Define maps $a_i^k \in Y^X$, $1 \leq i \leq n$, $0 \leq k \leq n$, by the rules $a_i^0 = a_i$ and

$$a_i^k(x) = q_1(a_i^{k-1}(x), a_i^{k-1}(x)), \quad x \in X, \quad (4)$$

for $k \geq 1$. Put $\tilde{a}_i = a_i^n$. We have $a_i^k \sim a_i^{k-1}$ because $a_i^k = h_1$ and $a_i^{k-1} = h_0$ for the homotopy $h_t \in Y^X$, $t \in [0, 1]$,

$$h_t(x) = q_t(a_i^{k-1}(x), a_i^{k-1}(x)), \quad x \in X.$$

Thus $\tilde{a}_i \sim a_i$.

Claim 1. If $a_i^{k-1} = |_Q a_j^{k-1}$ for some subspace $Q \subseteq X$, then $a_i^k = |_Q a_j^k$.

This follows from (4).

Claim 2. If $a_i^{i-1} = |_Q a_j^{i-1}$ for some subspace $Q \subseteq X$, then there exists a neighbourhood $W \subseteq X$ of Q such that $a_i^i = |_W a_j^i$.

Indeed, if $a_i^{i-1} = |_Q a_j^{i-1}$, then, by (2),

$$p_1(a_i^{i-1}(x), a_j^{i-1}(x)) = 1$$

for $x \in Q$. There exists a neighbourhood $W \subseteq X$ of Q such that

$$p_1(a_i^{i-1}(x), a_j^{i-1}(x)) > 0$$

for $x \in W$. Then, by (3),

$$q_1(a_i^{i-1}(x), a_j^{i-1}(x)) = a_i^{i-1}(x)$$

for $x \in W$. By (4),

$$a_i^i(x) = q_1(a_i^{i-1}(x), a_i^{i-1}(x)) = a_i^{i-1}(x)$$

(because $q_1(z, z) = z$ by (2)) and

$$a_j^i(x) = q_1(a_i^{i-1}(x), a_j^{i-1}(x)).$$

Thus $a_i^i(x) = a_j^i(x)$ for $x \in W$, as required.

Take a subspace $Z \subseteq X$.

Claim 3. If $a_i =|_Z a_j$, then there exists a neighbourhood $W \subseteq X$ of Z such that $\tilde{a}_i =|_W \tilde{a}_j$.

This follows from the construction of \tilde{a}_i and Claims 1 and 2.

Consider the equivalence

$$R = \{ (i, j) : a_i =|_Z a_j \}$$

on the set $I = \{1, \dots, n\}$. It follows from Claim 3 that there exists a neighbourhood $V \subseteq X$ of Z such that $\tilde{a}_i =|_V \tilde{a}_j$ for all $(i, j) \in R$. We have the commutative diagram

$$\begin{array}{ccccc} Y^Z & \xleftarrow{a_i|_Z \leftarrow i : l} & I & \xrightarrow{d: i \mapsto \tilde{a}_i|_V} & Y^V \\ & \searrow \bar{l} & \downarrow \pi & \nearrow \bar{d} & \\ & & I/R, & & \end{array}$$

where π is the projection. The function \bar{l} is injective. Consider the elements

$$U = \sum_i u_i \langle i \rangle \in \langle I \rangle$$

and

$$\bar{U} = \langle \pi \rangle(U) \in \langle I/R \rangle.$$

We have

$$A|_Z = \langle l \rangle(U) = \langle \bar{l} \rangle(\bar{U}) \quad \text{and} \quad \tilde{A}|_V = \langle d \rangle(U) = \langle \bar{d} \rangle(\bar{U}).$$

If $A|_Z = 0$, then $\bar{U} = 0$ because $\langle \bar{l} \rangle$ is injective. Then $\tilde{A}|_V = 0$. \square

Corollary 6.2. *Consider an ensemble*

$$A = \sum_i u_i \langle a_i \rangle \in \langle Y^X \rangle$$

such that $A \stackrel{\tau}{=} 0$. Then there exist maps $\tilde{a}_i \in Y^X$, $\tilde{a}_i \sim a_i$, such that the ensemble

$$\tilde{A} = \sum_i u_i \langle \tilde{a}_i \rangle \tag{5}$$

satisfies the condition $\tilde{A} \stackrel{r}{\underset{\Gamma}{=}} 0$ for some open cover Γ of X .

Proof. Since $A \stackrel{r}{=} 0$, we have $A|_T = 0$ for all $T \in \text{Sub}_r(X)$. By Lemma 6.1, there are maps $\tilde{a}_i \in Y^X$, $\tilde{a}_i \sim a_i$, such that the ensemble \tilde{A} given by (5) satisfies the condition $\tilde{A}|_{V(T)} = 0$ for some neighbourhood $V(T) \subseteq X$ of each $T \in \text{Sub}_r(X)$. There is an open cover Γ of X such that every $W \in \Gamma(r)$ is included in $V(T)$ for some $T \in \text{Sub}_r(X)$. Then $\tilde{A}|_W = 0$ for all $W \in \Gamma(r)$, that is, $\tilde{A} \stackrel{r}{\underset{\Gamma}{=}} 0$. \square

Lemma 6.3. Consider an ensemble $A \in \langle Y^X \rangle$,

$$A = \sum_i u_i \langle a_i \rangle,$$

and a map $b \in Y^X$. Then there exist maps $\tilde{a}_i \in Y^X$, $\tilde{a}_i \sim a_i$, such that the ensemble

$$\tilde{A} = \sum_i u_i \langle \tilde{a}_i \rangle \quad (6)$$

has the following property: if $A = |_Z \langle b \rangle$ for some subspace $Z \subseteq X$, then $\tilde{A} = |_V \langle b \rangle$ for some neighbourhood $V \subseteq X$ of Z .

Proof. Let Π be the set of subspaces $Z \subseteq X$ such that $A = |_Z \langle b \rangle$. By Lemma 6.1, there are maps $\bar{a}_i, \bar{b} \in Y^X$, $\bar{a}_i \sim a_i$ and $\bar{b} \sim b$, such that the ensemble

$$\bar{A} = \sum_i u_i \langle \bar{a}_i \rangle$$

satisfies the condition $\bar{A} = |_{V(Z)} \langle \bar{b} \rangle$ for some neighbourhood $V(Z) \subseteq X$ of each $Z \in \Pi$. By Lemma 5.1, there are maps $\tilde{a}_i \in Y^X$, $\tilde{a}_i \sim \bar{a}_i$, such that the ensemble \tilde{A} given by (6) satisfies the condition $\tilde{A} = |_{V(Z)} \langle b \rangle$ for all $Z \in \Pi$. \square

Corollary 6.4. Consider an ensemble

$$A = \sum_i u_i \langle a_i \rangle \in \langle Y^X \rangle$$

and a map $b \in Y^X$. Suppose that $A \stackrel{r}{=} \langle b \rangle$. Then there exist maps $\tilde{a}_i \in Y^X$, $\tilde{a}_i \sim a_i$, such that the ensemble

$$\tilde{A} = \sum_i u_i \langle \tilde{a}_i \rangle \quad (7)$$

satisfies the condition $\tilde{A} \stackrel{r}{\underset{\Gamma}{=}} \langle b \rangle$ for some open cover Γ of X .

Proof. This follows from Lemma 6.3 as Corollary 6.2 does from Lemma 6.1. \square

§7. SYMMETRIC CHARACTERIZATION OF SIMILARITY

Let X and Y be cellular spaces, X compact.

Lemma 7.1. *Consider a cover Γ of X , an open subspace $G \in \Gamma$, a closed subspace $D \subseteq X$, $D \subseteq G$, and maps $a, b_0, b_1 \in Y^X$ such that $a \sim|_G b_0$, $b_0 \sim b_1 \text{ rel } X \setminus D$, and $a \stackrel{r-1}{\sim}_\Gamma b_0$ in the following sense: there is an ensemble*

$$A = \sum_i u_i \langle a_i \rangle \in \langle Y^X \rangle,$$

where $a_i \sim a$, such that $A \stackrel{r-1}{=} \langle b_0 \rangle$. Then there exists an ensemble

$$C = \sum_k w_k \langle c_k \rangle \in \langle Y^X \rangle,$$

where $c_k \sim a$, such that $C \stackrel{r}{=} \langle b_1 \rangle - \langle b_0 \rangle$.

Proof. There is a homotopy $h_t \in Y^X$, $t \in [0, 1]$, such that $h_s = b_s$, $s = 0, 1$, and $h_t =|_{X \setminus D} b_0$. Choose a continuous function $\phi: X \rightarrow [0, 1]$ such that $\phi|_E = 1$ and $\phi|_{X \setminus F} = 0$ for some subspaces $E, F \subseteq X$, E open, F closed, such that

$$D \subseteq E \subseteq F \subseteq G.$$

Let $p \in Y^G$ be a map such that $p \sim b_0|_G$. Choose a homotopy

$$K_t(p) \in Y^G, \quad t \in [0, 1],$$

such that

$$K_0(p) = p, K_1(p) = b_0|_G, \text{ and } K_t(p) = b_0|_G \text{ if } p = b_0|_G.$$

Define a homotopy $L_t(p) \in Y^G$, $t \in [-1, 1]$, by the rules

$$L_t(p)(x) = K_{\phi(x)(t+1)}(p)(x), \quad x \in G,$$

for $t \in [-1, 0]$ and

$$L_t(p)(x) = \begin{cases} h_t(x) & \text{if } x \in E, \\ K_{\phi(x)}(p)(x) & \text{if } x \in G \setminus D \end{cases}$$

for $t \in [0, 1]$. We have

$$\begin{aligned} L_{-1}(p) &= p, & L_s(p) &=|_E b_s, \quad s = 0, 1, \\ L_0(p) &=|_{G \setminus D} L_1(p), & L_t(p) &=|_{G \setminus F} p. \end{aligned}$$

Moreover, $L_s(b_0|_G) = b_s|_G$, $s = 0, 1$.

Let $d \in Y^X$ be a map such that $d \sim|_G b_0$. Define a homotopy $l_t(d) \in Y^X$, $t \in [-1, 1]$, by the rules $l_t(d) =|_G L_t(d|_G)$ and $l_t(d) =|_{X \setminus F} d$. We have

$$\begin{aligned} l_{-1}(d) &= d, & l_s(d) &=|_E b_s, \quad s = 0, 1, \\ l_0(d) &=|_{X \setminus D} l_1(d), & l_t(d) &=|_{X \setminus F} d. \end{aligned}$$

Since $a_i \sim a \sim|_G b_0$, the homotopies $l_t(a_i)$ are defined. Put

$$C = \sum_i u_i(\langle l_1(a_i) \rangle - \langle l_0(a_i) \rangle).$$

We have $l_s(a_i) \sim a_i \sim a$. It remains to show that $C \stackrel{r}{=} \langle b_1 \rangle - \langle b_0 \rangle$. Take $T \in \text{Sub}_r(X)$. We check that

$$C =|_T \langle b_1 \rangle - \langle b_0 \rangle. \quad (8)$$

We are in one of the following three cases.

Case 1: $T \cap D = \{\varnothing_X\}$. We have $l_0(a_i) =|_T l_1(a_i)$ and $b_0 =|_T b_1$. Thus both the sides of (8) are zero on T .

Case 2: $T \cap F = \{\varnothing_X, x_*\}$, where $x_* \in E$ and $x_* \neq \varnothing_X$. Put $Z = T \setminus \{x_*\}$. We have $Z \in \text{Sub}_{r-1}(X)$ and $Z \cap F = \{\varnothing_X\}$. Define functions

$$e_s: Y^Z \rightarrow Y^T, \quad s = 0, 1,$$

by the rules $e_s(q)|_Z = q$ and $e_s(q)(x_*) = b_s(x_*)$. We have $e_s(b_0|_Z) = b_s|_T$ and $e_s(a_i|_Z) = l_s(a_i)|_T$. Thus

$$(\langle b_0 \rangle - \sum_i u_i \langle a_i \rangle)|_Z \xrightarrow{\langle e_s \rangle} (\langle b_s \rangle - \sum_i u_i \langle l_s(a_i) \rangle)|_T.$$

Since $A \stackrel{r-1}{=} \langle b_0 \rangle$, the expression on the left is zero. Thus the one on the right is also zero, which implies (8).

Case 3: $T \cap G \notin \text{Sub}_1(X)$. There is a decomposition $T = W \cup Z$ for some subspaces $W, Z \subseteq X$ such that $W \cap Z = \{\varnothing_X\}$, $W \subseteq G$, $Z \cap F = \{\varnothing_X\}$, and $Z \in \text{Sub}_{r-2}(X)$. Consider the subspace $M = G \cup Z \subseteq X$. Define functions $f_s: Y^M \rightarrow Y^T$, $s = 0, 1$. Take $q \in Y^M$. If $q \sim|_G b_0$, put $f_s(q) =|_W L_s(q|_G)$

and $f_s(q) = |_Z q$. Otherwise, put $f_s(q) = \lrcorner_Y^T$. We have $f_s(b_0|_M) = b_s|_T$ and $f_s(a_i|_M) = l_s(a_i)|_T$. Thus

$$\left(\langle b_0 \rangle - \sum_i u_i \langle a_i \rangle \right) |_M \xrightarrow{\langle f_s \rangle} \left(\langle b_s \rangle - \sum_i u_i \langle l_s(a_i) \rangle \right) |_T.$$

Since M is included in some element of $\Gamma(r-1)$ and $A \stackrel{r-1}{\underset{\Gamma}{=}} \langle b_0 \rangle$, the expression on the left is zero. Thus the one on the right is also zero, which implies (8). \square

Lemma 7.2. *Let $a, b, \tilde{b} \in Y^X$ be maps such that $a \stackrel{r-1}{\sim} b \sim \tilde{b}$ and*

$$a \sim|_S b \text{ for any } S \in \text{Sub}_1(X). \quad (*)$$

Then there exists an ensemble

$$C = \sum_k w_k \langle c_k \rangle \in \langle Y^X \rangle,$$

where $c_k \sim a$, such that $C \stackrel{r}{=} \langle \tilde{b} \rangle - \langle b \rangle$.

The condition (*) is satisfied automatically if X or Y is 0-connected. It also follows from the condition $a \stackrel{r-1}{\sim} b$ if $r \geq 2$ (cf. the proof of Theorem 7.3).

Proof. There is an ensemble

$$A = \sum_i u_i \langle a_i \rangle \in \langle Y^X \rangle,$$

where $a_i \sim a$, such that $A \stackrel{r-1}{=} \langle b \rangle$. Using Corollary 6.4, replace each a_i by a homotopic map to get $A \stackrel{r-1}{\underset{\Gamma}{=}} \langle b \rangle$ for some open cover Γ of X .

We say that a subspace $G \subseteq X$ is *primitive* if the map $\text{in}: G \rightarrow X$ is homotopic to the composition

$$G \xrightarrow{f} S \xrightarrow{\text{in}} X$$

for some subspace $S \in \text{Sub}_1(X)$ and map f . Since X is Hausdorff and locally contractible, for any open subspace $U \subseteq X$ and point $x \in U$, there exists a primitive open subspace $G \subseteq X$ such that $x \in G$ and $G \subseteq U$. We replace the cover Γ by its refinement consisting of primitive open subspaces. Then it follows from (*) that $a \sim|_G b$ for each $G \in \Gamma$.

Choose a finite partition of unity subordinate to Γ :

$$\sum_{j=1}^m \phi_j = 1,$$

where each $\phi_j: X \rightarrow [0, 1]$ is a continuous function such that $\phi_j|_{X \setminus D_j} = 0$ for some closed subspace $D_j \subseteq X$ such that $D_j \subseteq G_j$ for some $G_j \in \Gamma$. Choose a homotopy $h_t \in Y^X$, $t \in [0, 1]$, such that $h_0 = b$ and $h_1 = \tilde{b}$. Define maps $b_j \in Y^X$, $0 \leq j \leq m$, by the rule

$$b_j(x) = h_{\phi_1(x) + \dots + \phi_j(x)}(x).$$

We have $b_0 = b$, $b_m = \tilde{b}$, and $b_{j-1} \sim b_j \text{ rel } X \setminus D_j$.

Take $j \geq 1$. Applying Lemma 5.1 to the congruence $A \stackrel{r-1}{\equiv}_{\Gamma} \langle b \rangle$ and the homotopy $b \sim b_{j-1}$, we get an ensemble

$$A_j = \sum_i u_i \langle a_{ji} \rangle \in \langle Y^X \rangle,$$

where $a_{ji} \sim a_i$ ($\sim a$), such that $A_j \stackrel{r-1}{\equiv}_{\Gamma} \langle b_{j-1} \rangle$. We have $a \sim|_{G_j} b \sim b_{j-1}$. By Lemma 7.1, there is an ensemble

$$C_j = \sum_k w_{jk} \langle c_{jk} \rangle \in \langle Y^X \rangle,$$

where $c_{jk} \sim a$, such that $C_j \stackrel{r}{=} \langle b_j \rangle - \langle b_{j-1} \rangle$.

We get

$$\sum_{j=1}^m C_j = \langle b_m \rangle - \langle b_0 \rangle = \langle \tilde{b} \rangle - \langle b \rangle. \quad \square$$

Theorem 7.3. Consider maps $a, b \in Y^X$ and ensembles $A, B \in \langle Y^X \rangle$,

$$A = \sum_i u_i \langle a_i \rangle \quad \text{and} \quad B = \sum_j v_j \langle b_j \rangle,$$

where

$$\sum_i u_i = \sum_j v_j = 1,$$

$a_i \sim a$, and $b_j \sim b$, such that $A \stackrel{r}{=} B$. Then $a \stackrel{r}{\sim} b$.

Proof. Induction on r . If $r \leq 0$, the assertion is trivial. Suppose $r \geq 1$.

For $S \in \text{Sub}_1(X)$, we have $a \sim_S b$ because

$$\langle [a|_S] \rangle = \sum_i u_i \langle [a_i|_S] \rangle = \llbracket A|_S \rrbracket = \llbracket B|_S \rrbracket = \sum_j v_j \langle [b_j|_S] \rangle = \langle [b|_S] \rangle$$

in $\langle [S, Y] \rangle$. Here $\llbracket ? \rrbracket: \langle Y^S \rangle \rightarrow \langle [S, Y] \rangle$ is the homomorphism induced by the projection $[?]: Y^S \rightarrow [S, Y]$.

By induction hypothesis, $a \stackrel{r-1}{\sim} b$. Take j . Since $b \sim b_j$, Lemma 7.2 gives an ensemble

$$C_j = \sum_k w_{jk} \langle c_{jk} \rangle \in \langle Y^X \rangle,$$

where $c_{jk} \sim a$, such that $C_j \stackrel{r}{=} \langle b_j \rangle - \langle b \rangle$. We have

$$A - \sum_j v_j C_j \stackrel{r}{=} A - \sum_j v_j (\langle b_j \rangle - \langle b \rangle) = A - B + \langle b \rangle \stackrel{r}{=} \langle b \rangle,$$

which proves the assertion. \square

§8. SIMILARITY IS AN EQUIVALENCE

Let X and Y be cellular spaces, X compact.

Theorem 8.1. *The relation $\stackrel{r}{\sim}$ on Y^X is an equivalence.*

This was conjectured by A. V. Malyutin.

Proof. Reflexivity is trivial. Symmetry follows from Theorem 7.3. It remains to prove transitivity.

Let maps $a, b, c \in Y^X$ satisfy $a \stackrel{r}{\sim} b \stackrel{r}{\sim} c$. Then there are ensembles $A, B \in \langle Y^X \rangle$,

$$A = \sum_i u_i \langle a_i \rangle \quad \text{and} \quad B = \sum_j v_j \langle b_j \rangle,$$

where $a_i \sim a$ and $b_j \sim b$, such that $A \stackrel{r}{=} \langle b \rangle$ and $B \stackrel{r}{=} \langle c \rangle$. For each j , we have $b \sim b_j$ and, by Lemma 5.1, there is an ensemble

$$A_j = \sum_i u_i \langle a_{ji} \rangle \in \langle Y^X \rangle,$$

where $a_{ji} \sim a_i$ ($\sim a$), such that $A_j \stackrel{r}{=} \langle b_j \rangle$. We have

$$\sum_j v_j A_j \stackrel{r}{=} \sum_j v_j \langle b_j \rangle = B \stackrel{r}{=} \langle c \rangle.$$

Thus $a \stackrel{r}{\sim} c$. \square

Using Theorem 5.2, we introduce the relation of r -similarity on $[X, Y]$:

$$[a] \stackrel{r}{\sim} [b] \Leftrightarrow a \stackrel{r}{\sim} b.$$

It follows from Theorem 8.1 that it is an equivalence.

§9. THE HOPF INVARIANT

Let X and Y be spaces. Let $e \in Z^m(Y)$ and $f \in Z^n(Y)$ ($m, n \geq 1$) be (singular) cocycles and $g \in C^{m+n-1}(Y)$ be a cochain with $\delta g = ef$. Put

$$[X, Y]_{e,f} = \{ \mathbf{a} : \mathbf{a}^*([e]) = 0 \text{ and } \mathbf{a}^*([f]) = 0 \text{ in } H^\bullet(X) \} \subseteq [X, Y]$$

and

$$Y_{e,f}^X = \{ a : [a] \in [X, Y]_{e,f} \} \subseteq Y^X.$$

Given $a \in Y_{e,f}^X$, choose a cochain $p \in C^{m-1}(X)$ such that $\delta p = a^\#(e)$ and put

$$q = pa^\#(f) - a^\#(g) \in C^{m+n-1}(X).$$

Then $\delta q = 0$ and the class $[q] \in H^{m+n-1}(X)$ neither depends on the choice of p nor changes if a is replaced by a homotopic map. Putting $h([a]) = [q]$, we get the function

$$h : [X, Y]_{e,f} \rightarrow H^{m+n-1}(X),$$

which we call the *Hopf invariant* [7].

Lemma 9.1. *Let X_0 be a space and $t : X \rightarrow X_0$ be a map. We have the Hopf invariants*

$$h_0 : [X_0, Y]_{e,f} \rightarrow H^{m+n-1}(X_0) \quad \text{and} \quad h : [X, Y]_{e,f} \rightarrow H^{m+n-1}(X).$$

Given $a_0 \in Y^{X_0}$, put $a = a_0 \circ t \in Y^X$. If $a_0 \in Y_{e,f}^{X_0}$, then $a \in Y_{e,f}^X$ and $h([a]) = t^(h_0([a_0]))$ in $H^{m+n-1}(X)$. \square*

Lemma 9.2. *Take elements $\mathbf{u} \in \pi_m(Y)$ and $\mathbf{v} \in \pi_n(Y)$. Put*

$$\Delta = \langle \mathbf{u}^*([e]), [S^m] \rangle \langle \mathbf{v}^*([f]), [S^n] \rangle + (-1)^{mn} \langle \mathbf{u}^*([f]), [S^m] \rangle \langle \mathbf{v}^*([e]), [S^n] \rangle \in \mathbb{Z}$$

(the last two Kronecker indices vanish unless $m = n$). Consider the Hopf invariant

$$h : [S^{m+n-1}, Y]_{e,f} \rightarrow H^{m+n-1}(S^{m+n-1})$$

and the Whitehead product $[\mathbf{u}, \mathbf{v}] \in \pi_{m+n-1}(Y) = [S^{m+n-1}, Y]$. Then $[\mathbf{u}, \mathbf{v}] \in [S^{m+n-1}, Y]_{e,f}$ and

$$\langle h([\mathbf{u}, \mathbf{v}]), [S^{m+n-1}] \rangle = (-1)^{mn+m+n} \Delta.$$

Caution: the sign in the last equality is sensitive to certain conventions.

Proof (after [7, §19]). We assume that $S^m \vee S^n \subseteq S^m \times S^n$ in the standard way. We have the commutative diagram

$$\begin{array}{ccc} S^{m+n-1} & \xrightarrow{\phi} & S^m \vee S^n \\ \text{in} \downarrow & & \downarrow \text{in} \\ D^{m+n} & \xrightarrow{\chi} & S^m \times S^n, \end{array}$$

where $[\phi] = [[\text{in}_1], [\text{in}_2]]$ in $\pi_{m+n-1}(S^m \vee S^n)$. We have the chain of homomorphisms and sendings

$$\begin{array}{ccc} H_{m+n-1}(S^{m+n-1}) & & [S^{m+n-1}] \\ \uparrow \partial & & \uparrow \perp \\ H_{m+n}(D^{m+n}, S^{m+n-1}) & & [D^{m+n}] \\ (\chi, \phi)_* \downarrow & & \downarrow \perp \\ H_{m+n}(S^m \times S^n, S^m \vee S^n) & & \text{rel}_*([S^m \times S^n]) \\ \uparrow \text{rel}_* & & \uparrow \perp \\ H_{m+n}(S^m \times S^n) & & [S^m \times S^n] \end{array} \quad (9)$$

Choose representatives $u: S^m \rightarrow Y$ and $v: S^n \rightarrow Y$ of \mathbf{u} and \mathbf{v} , respectively. Consider the maps

$$a: S^{m+n-1} \xrightarrow{\phi} S^m \vee S^n \xrightarrow{w=u\vee v} Y.$$

Clearly, $[a] = [\mathbf{u}, \mathbf{v}]$ in $\pi_{m+n-1}(Y)$.

Choose cocycles $\hat{e} \in Z^m(S^m \times S^n)$ and $\hat{f} \in Z^n(S^m \times S^n)$ and a cochain $\hat{g} \in C^{m+n-1}(S^m \times S^n)$ such that

$$\hat{e}|_{S^m \vee S^n} = w^\#(e), \quad \hat{f}|_{S^m \vee S^n} = w^\#(f), \quad \text{and} \quad \hat{g}|_{S^m \vee S^n} = w^\#(g).$$

We have

$$a^\#(e) = \phi^\#(w^\#(e)) = \phi^\#(\hat{e}|_{S^m \vee S^n}) = \chi^\#(\hat{e})|_{S^{m+n-1}}$$

in $Z^m(S^{m+n-1})$. It follows that $a^*([e]) = 0$ in $H^m(S^{m+n-1})$ (which is automatic unless $n = 1$). Similarly, $a^*([f]) = 0$ in $H^n(S^{m+n-1})$. Thus $[a] \in [S^{m+n-1}, Y]_{e,f}$.

Let $z_k \in H^k(S^k)$ be the class with $\langle z_k, [S^k] \rangle = 1$. One easily sees that

$$[\hat{e}] = \langle \mathbf{u}^*([e]), [S^m] \rangle (z_m \times 1) + \langle \mathbf{v}^*([e]), [S^n] \rangle (1 \times z_n)$$

in $H^m(S^m \times S^n)$ and

$$[\widehat{f}] = \langle \mathbf{v}^*([f]), [S^n] \rangle (1 \times z_n) + \langle \mathbf{u}^*([f]), [S^m] \rangle (z_m \times 1)$$

in $H^n(S^m \times S^n)$. Thus $[\widehat{e}][\widehat{f}] = \Delta(z_m \times z_n)$ in $H^{m+n}(S^m \times S^n)$ and

$$\langle [\widehat{e}][\widehat{f}], [S^m \times S^n] \rangle = (-1)^{mn} \Delta. \quad (10)$$

Choose a cochain $\widetilde{p} \in C^{m-1}(D^{m+n})$ such that $\delta \widetilde{p} = \chi^\#(\widehat{e})$. Put

$$\widetilde{q} = \widetilde{p}\chi^\#(\widehat{f}) - \chi^\#(\widehat{g}) \in C^{m+n-1}(D^{m+n}).$$

Put

$$p = \widetilde{p}|_{S^{m+n-1}} \in C^{m-1}(S^{m+n-1})$$

and

$$q = \widetilde{q}|_{S^{m+n-1}} \in C^{m+n-1}(S^{m+n-1}).$$

We have

$$\delta p = \delta \widetilde{p}|_{S^{m+n-1}} = \chi^\#(\widehat{e})|_{S^{m+n-1}} = \phi^\#(\widehat{e}|_{S^m \vee S^n}) = \phi^\#(w^\#(e)) = a^\#(e)$$

and

$$\begin{aligned} q &= p\chi^\#(\widehat{f})|_{S^{m+n-1}} - \chi^\#(\widehat{g})|_{S^{m+n-1}} = p\phi^\#(\widehat{f}|_{S^m \vee S^n}) - \phi^\#(\widehat{g}|_{S^m \vee S^n}) \\ &= p\phi^\#(w^\#(f)) - \phi^\#(w^\#(g)) = pa^\#(f) - a^\#(g). \end{aligned}$$

Thus $\delta q = 0$ and $h([a]) = [q]$.

We have

$$\delta \widetilde{q} = \chi^\#(\widehat{e})\chi^\#(\widehat{f}) - \delta \chi^\#(\widehat{g}) = \chi^\#(\widehat{e}\widehat{f} - \delta \widehat{g}).$$

We have the chain of homomorphisms and sendings

$$\begin{array}{ccc} H^{m+n-1}(S^{m+n-1}) & & [q] \\ \delta \downarrow & & \downarrow \\ H^{m+n}(D^{m+n}, S^{m+n-1}) & & [\chi^\#(\widehat{e}\widehat{f} - \delta \widehat{g})] \\ (\chi, \phi)^* \uparrow & & \uparrow \\ H^{m+n}(S^m \times S^n, S^m \vee S^n) & & [\widehat{e}\widehat{f} - \delta \widehat{g}] \\ \text{rel}^* \downarrow & & \downarrow \\ H^{m+n}(S^m \times S^n) & & [\widehat{e}][\widehat{f}] \end{array}$$

Collating it with (9) and using (10), we get

$$\langle [q], [S^{m+n-1}] \rangle = (-1)^{m+n} \langle [\widehat{e}][\widehat{f}], [S^m \times S^n] \rangle = (-1)^{mn+m+n} \Delta.$$

This is what we need because $h([u, v]) = h([a]) = [q]$. \square

Let Γ be an open cover of X . Consider the differential graded ring $C^\bullet(\Gamma)$ of Γ -cochains of X (that is, functions on the set of singular simplices subordinate to Γ). The restriction homomorphism

$$?|_\Gamma: C^\bullet(X) \rightarrow C^\bullet(\Gamma)$$

is a morphism of differential graded rings; it induces an isomorphism of cohomology rings,

$$?|_\Gamma: H^\bullet(X) \rightarrow H^\bullet(\Gamma).$$

Lemma 9.3. *Given $a \in Y_{e,f}^X$, choose $\tilde{p} \in C^{m-1}(\Gamma)$ such that $\delta\tilde{p} = a^\#(e)|_\Gamma$ and put*

$$\tilde{q} = \tilde{p}a^\#(f)|_\Gamma - a^\#(g)|_\Gamma \in C^{m+n-1}(\Gamma).$$

Then $\delta\tilde{q} = 0$ and $h([a])|_\Gamma = [\tilde{q}]$ in $H^{m+n-1}(\Gamma)$. \square

We suppose that X and Y are cellular spaces and X is compact.

Theorem 9.4. *Consider an ensemble $A \in \langle Y^X \rangle$,*

$$A = \sum_i u_i \langle a_i \rangle,$$

where $a_i \in Y_{e,f}^X$, such that $A \stackrel{2}{=} 0$. Then

$$\sum_i u_i h([a_i]) = 0$$

in $H^{m+n-1}(X)$.

Thus h may be called a *partial* invariant of order at most 2.

Proof. Using Corollary 6.2, replace a_i by homotopic maps so that $A \stackrel{2}{=} 0$ for some open cover Γ of X .

Let $B \subseteq C^m(\Gamma)$ be the subgroup generated by the coboundaries $a_i^\#(e)|_\Gamma$. It is free because finitely generated and torsion-free. Thus there is a homomorphism $P: B \rightarrow C^{m-1}(\Gamma)$ such that $\delta P(b) = b$, $b \in B$. Put

$$\tilde{q}_i = P(a_i^\#(e)|_\Gamma) a_i^\#(f)|_\Gamma - a_i^\#(g)|_\Gamma \in C^{m+n-1}(\Gamma).$$

By Lemma 9.3, $\delta\tilde{q}_i = 0$ and

$$h([a_i])|_\Gamma = [\tilde{q}_i]$$

in $H^{m+n-1}(\Gamma)$.

Take a singular simplex $\sigma: \Delta^{m+n-1} \rightarrow G$, $G \in \Gamma$. Let

$$\sigma': \Delta^{m-1} \rightarrow G \quad \text{and} \quad \sigma'': \Delta^n \rightarrow G$$

be its front and back faces, respectively.

The group $\text{Hom}(B, \mathbb{Q})$ is formed by homomorphisms $\langle \cdot, T \rangle$, where T runs over $C_m(\Gamma; \mathbb{Q})$, the group of rational Γ -chains in X . Thus there is a chain $T \in C_m(\Gamma; \mathbb{Q})$ such that

$$\langle P(b), \sigma' \rangle = \langle b, T \rangle, \quad b \in B.$$

We have

$$T = \sum_k c_k \tau_k,$$

where $c_k \in \mathbb{Q}$ and $\tau_k: \Delta^m \rightarrow G_k$, $G_k \in \Gamma$. Thus

$$\langle P(a_i^\#(e)|_\Gamma), \sigma' \rangle = \langle a_i^\#(e)|_\Gamma, T \rangle = \sum_k c_k \langle a_i^\#(e)|_\Gamma, \tau_k \rangle.$$

We get

$$\begin{aligned} \langle \tilde{q}_i, \sigma \rangle &= (-1)^{(m-1)n} \langle P(a_i^\#(e)|_\Gamma), \sigma' \rangle \langle a_i^\#(f)|_\Gamma, \sigma'' \rangle - \langle a_i^\#(g)|_\Gamma, \sigma \rangle \\ &= (-1)^{(m-1)n} \sum_k c_k \langle a_i^\#(e)|_\Gamma, \tau_k \rangle \langle a_i^\#(f)|_\Gamma, \sigma'' \rangle - \langle a_i^\#(g)|_\Gamma, \sigma \rangle \\ &= (-1)^{(m-1)n} \sum_k c_k \langle (a_i|_{G \cup G_k})^\#(e), \tau_k \rangle \langle (a_i|_{G \cup G_k})^\#(f), \sigma'' \rangle \\ &\quad - \langle (a_i|_G)^\#(g), \sigma \rangle. \end{aligned}$$

We have found functions $R_k: Y^{G \cup G_k} \rightarrow \mathbb{Q}$ and $S: Y^G \rightarrow \mathbb{Q}$ such that

$$\langle \tilde{q}_i, \sigma \rangle = \sum_k R_k(a_i|_{G \cup G_k}) - S(a_i|_G)$$

for all i . Since $A \stackrel{2}{\Gamma} 0$, we have $A|_{G \cup G_k} = 0$ and $A|_G = 0$. Thus

$$\sum_i u_i \langle \tilde{q}_i, \sigma \rangle = 0.$$

Since σ was taken arbitrarily, we have

$$\sum_i u_i \tilde{q}_i = 0.$$

We get

$$\sum_i u_i h([a_i])|_\Gamma = \sum_i u_i [\tilde{q}_i] = 0.$$

Since restriction to Γ here is an isomorphism, we get

$$\sum_i u_i h([a_i]) = 0. \quad \square$$

Corollary 9.5. *Let $a, b \in Y_{e,f}^X$ satisfy $a \stackrel{2}{\sim} b$. Then $h([a]) = h([b])$.*

Proof. There is an ensemble

$$A = \sum_i u_i \langle a_i \rangle \in \langle Y^X \rangle,$$

where $a_i \sim a$, such that $A \stackrel{2}{=} \langle b \rangle$. Since $A = |\{ \cdot \} \rangle \langle b \rangle$, we have

$$\sum_i u_i = 1.$$

By Theorem 9.4,

$$\sum_i u_i h([a_i]) = h([b]).$$

Since $[a_i] = [a]$, we get $h([a]) = h([b])$. \square

§10. MAPS OF $S^p \times S^n$

This section does not depend of the rest of the paper. We recall a theorem of G. W. Whitehead about the fibration of free spheroids (Theorem 10.1) and deduce Lemma 10.3 about certain maps $S^p \times S^n \rightarrow Y$ (we need it in §11).

We fix numbers $p, n \geq 1$ and a space Y . Let $\Omega^n Y$ be the space of maps $S^n \rightarrow Y$, as usual. Let

$$\epsilon: S^p \times S^n \rightarrow S^p \wedge S^n \rightarrow S^{p+n}$$

be the composition of the projection and the standard homeomorphism. For a map $w: S^{p+n} \rightarrow Y$, introduce the map

$$\nabla^n(w): S^p \rightarrow \Omega^n Y, \quad \nabla^n(w)(t)(z) = w(\epsilon(t, z)).$$

Introduce the isomorphism

$$\nabla^n: \pi_{p+n}(Y) \rightarrow \pi_p(\Omega^n Y), \quad [w] \mapsto [\nabla^n(w)].$$

Let

$$\mu: S^n \rightarrow S^n \vee S^n$$

be the standard comultiplication. Consider the usual multiplication

$$\Omega^n Y \times \Omega^n Y \xrightarrow{*} \Omega^n Y, \quad v_1 * v_2: S^n \xrightarrow{\mu} S^n \vee S^n \xrightarrow{v_1 \nabla v_2} Y.$$

For a map $v: S^n \rightarrow Y$, introduce the map

$$\tau_v: \Omega^n Y \xrightarrow{v*?} (\Omega^n Y, v * \lrcorner),$$

where the target is $\Omega^n Y$ with the specified new basepoint. It induces the isomorphism

$$\tau_{v*}: \pi_p(\Omega^n Y) \rightarrow \pi_p(\Omega^n Y, v * \lrcorner).$$

Let $\Lambda^n Y$ be the space of *unbased* maps $S^n \rightarrow Y$. Consider the fibration

$$\rho: \Lambda^n Y \rightarrow Y, \quad v \mapsto v(\lrcorner).$$

We have $\rho^{-1}(\lrcorner) = \Omega^n Y$.

Theorem 10.1 (G. W. Whitehead). *For a map $v: S^n \rightarrow Y$, the composition*

$$\Gamma: \pi_{p+1}(Y) \xrightarrow{[\cdot, v]} \pi_{p+n}(Y) \xrightarrow{\nabla^n} \pi_p(\Omega^n Y) \xrightarrow{\tau_{v*}} \pi_p(\Omega^n Y, v * \lrcorner)$$

*coincides up to a sign with the connecting homomorphism of the fibration ρ at the point $v * \lrcorner \in \Omega^n Y$. Consequently, the composition*

$$\pi_{p+1}(Y) \xrightarrow{\Gamma} \pi_p(\Omega^n Y, v * \lrcorner) \xrightarrow{\text{in}_*} \pi_p(\Lambda^n Y, v * \lrcorner)$$

is zero.

Proof. See [8, Theorem (3.2)] and [9, §3]. □

For a map $v: S^n \rightarrow Y$, introduce the homomorphism

$$\Psi_v: \pi_{p+n}(Y) \xrightarrow{\nabla^n} \pi_p(\Omega^n Y) \xrightarrow{\tau_{v*}} \pi_p(\Omega^n Y, v * \lrcorner) \xrightarrow{\text{in}_*} \pi_p(\Lambda^n Y, v * \lrcorner).$$

By Theorem 10.1,

$$\Psi_v([\mathbf{u}, [v]]) = 0, \quad \mathbf{u} \in \pi_{p+1}(Y). \quad (11)$$

For maps $v: S^n \rightarrow Y$ and $w: S^{p+n} \rightarrow Y$, introduce the map

$$\Psi_v(w): S^p \xrightarrow{\nabla^n(w)} \Omega^n Y \xrightarrow{\tau_v} (\Omega^n Y, v * \lrcorner) \xrightarrow{\text{in}_*} (\Lambda^n Y, v * \lrcorner).$$

Clearly,

$$[\Psi_v(w)] = \Psi_v([w])$$

in $\pi_p(\Lambda^n Y, v * \lrcorner)$.

Introduce the map

$$\Phi: S^p \times S^n \xrightarrow{\text{id} \times \mu} S^p \times (S^n \vee S^n) \xrightarrow{\theta} S^n \vee S^{p+n}, \quad (12)$$

where

$$\theta: (t, \text{in}_1(z)) \mapsto \text{in}_1(z), \quad (t, \text{in}_2(z)) \mapsto \text{in}_2(\epsilon(t, z)), \quad t \in S^p, \quad z \in S^n.$$

For maps $v: S^n \rightarrow Y$ and $w: S^{p+n} \rightarrow Y$, introduce the map

$$\Xi(v, w): S^p \times S^n \xrightarrow{\Phi} S^n \vee S^{p+n} \xrightarrow{v \bar{\vee} w} Y. \quad (13)$$

For elements $\mathbf{v} \in \pi_n(Y)$ and $\mathbf{w} \in \pi_{p+n}(Y)$, put

$$\Xi(\mathbf{v}, \mathbf{w}) = [\Xi(v, w)] \in [S^p \times S^n, Y], \quad (14)$$

where v and w are representatives of \mathbf{v} and \mathbf{w} , respectively.

For maps $v_0: S^n \rightarrow Y$ and $V: S^p \rightarrow (\Lambda^n Y, v_0)$, introduce the map

$$V^\times: S^p \times S^n \rightarrow Y, \quad (t, z) \mapsto V(t)(z).$$

For $\mathbf{V} \in \pi_p(\Lambda^n Y, v_0)$, put

$$\mathbf{V}^\times = [V^\times] \in [S^p \times S^n, Y],$$

where V is a representative of \mathbf{V} .

Lemma 10.2. *For maps $v: S^n \rightarrow Y$ and $w: S^{p+n} \rightarrow Y$, one has*

$$\Xi(v, w) = \Psi_v(w)^\times: S^p \times S^n \rightarrow Y.$$

Consequently,

$$\Xi([v], [w]) = \Psi_v([w])^\times$$

in $[S^p \times S^n, Y]$.

Proof. Take a point $(t, z) \in S^p \times S^n$. We have $\mu(z) = \text{in}_k(\tilde{z})$ in $S^n \vee S^n$ for some $k \in \{1, 2\}$ and $\tilde{z} \in S^n$. We have

$$\theta(t, \mu(z)) = \theta(t, \text{in}_k(\tilde{z})) = \begin{cases} \text{in}_1(\tilde{z}) & \text{if } k = 1, \\ \text{in}_2(\epsilon(t, \tilde{z})) & \text{if } k = 2 \end{cases}$$

in $S^n \vee S^{p+n}$. Thus

$$\begin{aligned} \Xi(v, w)(t, z) &= ((v \bar{\vee} w) \circ \Phi)(t, z) \\ &= ((v \bar{\vee} w) \circ \theta \circ (\text{id} \times \mu))(t, z) = (v \bar{\vee} w)(\theta(t, \mu(z))) \\ &= \begin{cases} (v \bar{\vee} w)(\text{in}_1(\tilde{z})) = v(\tilde{z}) & \text{if } k = 1, \\ (v \bar{\vee} w)(\text{in}_1(\tilde{z})) = v(\tilde{z}) & \text{if } k = 2. \end{cases} \end{aligned}$$

On the other hand,

$$\begin{aligned}\Psi_v(w)^\times(t, z) &= \Psi_v(w)(t)(z) = \tau_v(\nabla^n(w)(t))(z) = (v * \nabla^n(w)(t))(z) \\ &= (v \underline{\nabla} \nabla^n(w)(t))(\mu(z)) = (v \underline{\nabla} \nabla^n(w)(t))(\text{in}_k(\tilde{z})) \\ &= \begin{cases} v(\tilde{z}) & \text{if } k = 1, \\ \nabla^n(w)(t)(\tilde{z}) = w(\epsilon(t, \tilde{z})) & \text{if } k = 2. \end{cases}\end{aligned}$$

The same. \square

Lemma 10.3. *For elements $\mathbf{u} \in \pi_{p+1}(Y)$, $\mathbf{v} \in \pi_n(Y)$, and $\mathbf{w} \in \pi_{p+n}(Y)$, one has*

$$\Xi(\mathbf{v}, [\mathbf{u}, \mathbf{v}] + \mathbf{w}) = \Xi(\mathbf{v}, \mathbf{w})$$

in $[S^p \times S^n, Y]$.

Proof. Choose a representative $v: S^n \rightarrow Y$ of \mathbf{v} . By (11),

$$\Psi_v([\mathbf{u}, \mathbf{v}] + \mathbf{w}) = \Psi_v(\mathbf{w})$$

in $\pi_p(\Lambda^n Y, v * \nabla)$. Applying Lemma 10.2 yields the desired equality. \square

For a map $w: S^{p+n} \rightarrow Y$, introduce the map

$$\xi(w): S^p \times S^n \xrightarrow{\epsilon} S^{p+n} \xrightarrow{w} Y.$$

For an element $\mathbf{w} \in \pi_{p+n}(Y)$, put

$$\xi(\mathbf{w}) = [\xi(w)] \in [S^p \times S^n, Y], \quad (15)$$

where w is a representative of \mathbf{w} .

Lemma 10.4. *For an element $\mathbf{w} \in \pi_{p+n}(Y)$, one has*

$$\Xi(0, \mathbf{w}) = \xi(\mathbf{w})$$

in $[S^p \times S^n, Y]$.

Proof. Choose a representative $w: S^{p+n} \rightarrow Y$ of \mathbf{w} . Consider the diagram

$$\begin{array}{ccccc}
 S^p \times (S^n \vee S^n) & \xrightarrow{\theta} & S^n \vee S^{p+n} & & \\
 \downarrow \text{id} \times (\lrcorner \nabla \text{id}) & \nearrow \text{id} \times \mu & \searrow \Phi & \nearrow \lrcorner \nabla w & \downarrow \lrcorner \nabla \text{id} \\
 & S^p \times S^n & \xrightarrow{\Xi(\lrcorner, w)} & Y & \\
 & \searrow \text{id} & \xrightarrow{\xi(w)} & \nearrow w & \\
 S^p \times S^n & \xrightarrow{\epsilon} & S^{p+n} & &
 \end{array}$$

Since the map

$$S^n \xrightarrow{\mu} S^n \vee S^n \xrightarrow{\lrcorner \nabla \text{id}} S^n$$

is homotopic to the identity, the left triangle is homotopy commutative. The other empty triangles and the square are commutative. It follows that the parallel curved arrows are homotopic. \square

§11. FINENESS OF 2-SIMILARITY

Put $X = S^p \times S^n$ ($p \geq 1, n \geq 2$). Let Y be a space, and let $\mathbf{u} \in \pi_{p+1}(Y)$ and $\mathbf{v} \in \pi_n(Y)$ be some elements. Consider the Whitehead product

$$[\mathbf{u}, \mathbf{v}] \in \pi_{p+n}(Y)$$

and the homotopy classes

$$\mathbf{k}(t) = \xi(t[\mathbf{u}, \mathbf{v}]) \in [X, Y], \quad t \in \mathbb{Z}$$

(see (15)).

Lemma 11.1. *Let L be an abelian group and $f: [X, Y] \rightarrow L$ be an invariant of order at most r . Then*

$$f(\mathbf{k}(r! + t)) = f(\mathbf{k}(t)), \quad t \in \mathbb{Z}.$$

Proof (after [5, Lemma 1.5]). We will use the homotopy classes

$$\mathbf{K}(s, t) = \Xi(sv, t[\mathbf{u}, \mathbf{v}]) \in [X, Y], \quad s, t \in \mathbb{Z}$$

(see (14)). By Lemma 10.4,

$$\mathbf{K}(0, t) = \mathbf{k}(t). \quad (16)$$

We have

$$\mathbf{K}(s, m + t) = \mathbf{K}(s, t) \quad \text{if } s \mid m \quad (17)$$

because

$$\begin{aligned} \Xi(sv, (m + t)[\mathbf{u}, \mathbf{v}]) &= \Xi(sv, [(m/s)\mathbf{u}, sv] + t[\mathbf{u}, \mathbf{v}]) \\ &= \Xi(sv, t[\mathbf{u}, \mathbf{v}]) \quad (\text{by Lemma 10.3}). \end{aligned}$$

Consider the wedge of r copies of S^n and two copies of S^{p+n}

$$W = S^n \vee \dots \vee S^n \vee S^{p+n} \vee S^{p+n}$$

and the maps

$$\Lambda(d) = \lambda_1(d_1) \vee \dots \vee \lambda_r(d_r) \vee \lambda_{r+1}(d_{r+1}) \vee \text{id}: W \rightarrow W,$$

$d = (d_1, \dots, d_{r+1}) \in \{0, 1\}^{r+1} \subseteq \mathbb{Z}^{r+1}$, as in §3. Put

$$\mu = \mu_1 \vee \mu_2: S^n \vee S^{p+n} \rightarrow W,$$

where

$$\mu_1: S^n \rightarrow S^n \vee \dots \vee S^n \quad \text{and} \quad \mu_2: S^{p+n} \rightarrow S^{p+n} \vee S^{p+n}$$

are the comultiplications. Choose a map $q: W \rightarrow Y$ with

$$[q] = \mathbf{v} \nabla \dots \nabla \mathbf{v} \nabla r! [\mathbf{u}, \mathbf{v}] \nabla t [\mathbf{u}, \mathbf{v}].$$

Consider the ensemble $A \in \langle Y^X \rangle$,

$$A = \sum_{d \in \{0, 1\}^{r+1}} (-1)^{|d|} \langle a(d) \rangle,$$

where

$$a(d): X \xrightarrow{\Phi} S^n \vee S^{p+n} \xrightarrow{\mu} W \xrightarrow{\Lambda(d)} W \xrightarrow{q} Y,$$

where Φ is as in (13). By Lemma 3.1, $A \stackrel{r}{=} 0$. Clearly,

$$[q \circ \Lambda(d) \circ \mu] = (d_1 + \dots d_r) \mathbf{v} \nabla (d_{r+1} r! + t) [\mathbf{u}, \mathbf{v}]$$

in $[S^n \vee S^{p+n}, Y]$. Thus, by the construction of $\mathbf{K}(s, t)$,

$$[a(d)] = \mathbf{K}(d_1 + \dots d_r, d_{r+1} r! + t)$$

in $[X, Y]$. Thus, since f has order at most r ,

$$\sum_{d \in \{0, 1\}^{r+1}} (-1)^{|d|} f(\mathbf{K}(d_1 + \dots d_r, d_{r+1} r! + t)) = 0.$$

By (17), the class $\mathbf{K}(d_1 + \dots d_r, d_{r+1}r! + t)$ does not depend on d_{r+1} if $(d_1, \dots, d_r) \neq (0, \dots, 0)$. Thus the corresponding summands cancel out. We get $f(\mathbf{K}(0, t)) - f(\mathbf{K}(0, r! + t)) = 0$. By (16), this is what we need. \square

Let classes $E \in H^{p+1}(Y)$ and $F \in H^n(Y)$ satisfy $EF = 0$ in $H^{p+n+1}(Y)$. Put, as in Lemma 9.2,

$$\begin{aligned} \Delta &= \langle \mathbf{u}^*(E), [S^{p+1}] \rangle \langle \mathbf{v}^*(F), [S^n] \rangle \\ &+ (-1)^{(p+1)n} \langle \mathbf{u}^*(F), [S^{p+1}] \rangle \langle \mathbf{v}^*(E), [S^n] \rangle \in \mathbb{Z}. \end{aligned}$$

If $Y = S^{p+1} \vee S^n$ with $\mathbf{u} = [\text{in}_1]$ and $\mathbf{v} = [\text{in}_2]$, taking obvious E and F yields $\Delta = 1$. If $p = n - 1$ and $Y = S^n$ with $\mathbf{u} = \mathbf{v} = [\text{id}]$, taking obvious equal E and F yields $\Delta = 1 + (-1)^n$.

Lemma 11.2. *If $\Delta \neq 0$, the classes $\mathbf{k}(t)$, $t \in \mathbb{Z}$, are pairwise not 2-similar.*

Proof. Choose cocycles $e \in Z^{p+1}(Y)$ and $f \in Z^n(Y)$ representing E and F , respectively. Choose a cochain $g \in C^{p+n}(Y)$ with $\delta g = ef$. Consider the corresponding Hopf invariants (see §9)

$$h_0: \pi_{p+n}(Y) \rightarrow H^{p+n}(S^{p+n}) \quad \text{and} \quad h: [X, Y]_{e,f} \rightarrow H^{p+n}(X).$$

By Lemma 9.2,

$$\langle h_0([\mathbf{u}, \mathbf{v}]), [S^{p+n}] \rangle = (-1)^{pn+p+1} \Delta.$$

We have the decomposition

$$\mathbf{k}(t): X \xrightarrow{\epsilon} S^{p+n} \xrightarrow[t[\text{id}]]{\sim} S^{p+n} \xrightarrow{[\mathbf{u}, \mathbf{v}]} Y$$

(the wavy arrows denote homotopy classes). Clearly, $\mathbf{k}(t) \in [X, Y]_{e,f}$. Since the Brouwer degree of ϵ is 1 and that of $t[\text{id}]$ is t , Lemma 9.1 yields

$$\langle h(\mathbf{k}(t)), [X] \rangle = (-1)^{pn+p+1} \Delta t.$$

By Corollary 9.5, the classes $\mathbf{k}(t)$, $t \in \mathbb{Z}$, are pairwise not 2-similar if $\Delta \neq 0$. \square

Moral. Suppose that $\Delta \neq 0$. The classes $\mathbf{k}(0)$ (i.e., $[\nabla]$) and $\mathbf{k}(2)$ in $[X, Y]$, which are not 2-similar by Lemma 11.2, cannot be distinguished by an invariant of order at most 2 by Lemma 11.1. Recall that (X, Y) can be $(S^p \times S^n, S^{p+1} \vee S^n)$ for any $p \geq 1$ and $n \geq 2$ or $(S^{n-1} \times S^n, S^n)$ for even $n \geq 2$.

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