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**THE GROUND STATE-VECTOR OF THE XY
HEISENBERG CHAIN AND THE GAUSS
DECOMPOSITION**

ABSTRACT. The XY Heisenberg spin $\frac{1}{2}$ chain is considered in the fermion representation. The construction of the ground state-vector is based on the group-theoretical approach. The exact expression for the ground state-vector will allow to study the combinatorics of the correlation functions of the model.

**Dedicated to M. A. Semenov-Tian-Shansky on the occasion
of his 70th birthday**

§1. INTRODUCTION

The correlation functions of certain quantum integrable models demonstrate connection with enumerative combinatorics and with the theory of symmetric functions [1–5]. For instance, random lattice walks and boxed plane partitions, as subjects of enumerative combinatorics [6], are related to the correlation functions of the XX model [7–11]. Various spin lattice models [12], including the XY Heisenberg chain model, as well as its isotropic limit, the XX model, provide a base for such actively developing subjects in the theory of quantum information [13] as random lattice walks [14] and entanglement entropy [15].

Interest in the study of the correlation functions for the XY spin chain still exists after the pioneer works [16–18]. The determinantal representation for the the equal-time correlation functions for the model was obtained in the paper [19]. The approach based on the application of the coherent states to the problem of time and temperature dependent correlation functions was developed in [20, 21]. In the present paper we consider a group theoretical approach to the XY model and derive its ground state-vector using the *Gauss decomposition* [22]. The representation of the obtained

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lattice argument $n \in \mathcal{M} \equiv \{1, 2, \dots, M\}$ and satisfy the commutation relations:

$$[\sigma_k^+, \sigma_l^-] = \delta_{kl} \sigma_l^z, \quad [\sigma_k^z, \sigma_l^\pm] = \pm 2\delta_{kl} \sigma_l^\pm. \quad (3)$$

The entries of the *hopping matrix* $\Delta^{(s)}$ in (1), (2) are expressed as follows, [9]:

$$\Delta_{nm}^{(s)} \equiv \frac{1}{2} (\delta_{|n-m|,1} + s\delta_{|n-m|,M-1}), \quad (4)$$

where $\delta_{n,l} (\equiv \delta_{nl})$ is the Kronecker symbol, and s is either ± 1 or zero. The periodic boundary conditions $\sigma_{n+M}^\alpha = \sigma_n^\alpha$, $\forall n \in \mathcal{M}$, are imposed. The Hamiltonian H_{xx} (1) (i.e., H at $\gamma = 0$) is that of the periodic XX chain.

Let us pass from the spin operators σ_k^α to the canonical fermion operators c_k, c_k^\dagger subjected to the algebra

$$\{c_k, c_n\} = \{c_k^\dagger, c_n^\dagger\} = 0, \quad \{c_k, c_n^\dagger\} = \delta_{kn}, \quad (5)$$

where the brackets $\{, \}$ imply anti-commutation. We use the Jordan-Wigner transformation [23]:

$$c_k = \exp\left(i\pi \sum_{n=1}^{k-1} \sigma_n^- \sigma_n^+\right) \sigma_k^+, \quad c_k^\dagger = \sigma_k^- \exp\left(-i\pi \sum_{n=1}^{k-1} \sigma_n^- \sigma_n^+\right). \quad (6)$$

Inversion of (6) takes the form:

$$\sigma_n^- = c_n^\dagger \prod_{j=1}^{n-1} (1 - 2c_j^\dagger c_j), \quad \sigma_n^+ = \prod_{j=1}^{n-1} (1 - 2c_j^\dagger c_j) c_n. \quad (7)$$

The periodic boundary conditions for the spin variables are equivalent to the following boundary conditions for the fermion variables:

$$c_{M+1} = (-1)^\mathcal{N} c_1, \quad c_{M+1}^\dagger = c_1^\dagger (-1)^\mathcal{N}, \quad (8)$$

where $\mathcal{N} \equiv Q(M) = \sum_{k=1}^M c_k^\dagger c_k$ is the total number of particles.

The transformations (6), (7) enable to represent H (1) as follows [16,17]:

$$H = H^+ P^+ + H^- P^-, \quad (9)$$

$$H^\pm = -\frac{1}{2} \sum_{k=1}^M [c_k^\dagger c_{k+1} + c_{k+1}^\dagger c_k + \gamma(c_{k+1} c_k + c_k^\dagger c_{k+1}^\dagger)], \quad (10)$$

where P^\pm are the projectors onto the states with even (+)/odd (−) number of fermions: $P^\pm = \frac{1}{2}(1 \pm (-1)^\mathcal{N})$. The indices $s = \pm$ point out the

correspondence between the operators H^s (10) and appropriately specified boundary conditions (8):

$$c_{M+1} = -sc_1, \quad c_{M+1}^\dagger = -sc_1^\dagger. \quad (11)$$

The operator \mathcal{N} commutes with H_{xx} (1). The parity operator $(-1)^\mathcal{N}$ commutes with H and anti-commutes with c_k^\dagger and c_k .

The requirements (11) suggest to use the Fourier series:

$$c_k = \frac{e^{-i\pi/4}}{\sqrt{M}} \sum_{q \in \mathcal{S}^\pm} e^{iqk} c_q, \quad c_k^\dagger = \frac{e^{i\pi/4}}{\sqrt{M}} \sum_{q \in \mathcal{S}^\pm} e^{-iqk} c_q^\dagger, \quad (12)$$

where $\sum_{q \in \mathcal{S}^\pm}$ implies summation over quasi-momenta $q \in \mathcal{S}^\pm$ respecting $\cos Mq = \mp 1$:

$$\mathcal{S}^+ = \{q : q = -\pi + \pi(2l - 1)/M, l \in \mathcal{M}\},$$

$$\mathcal{S}^- = \{q : q = -\pi + 2\pi l/M, l \in \mathcal{M}\}.$$

Substitution of (12) into (10) yields the XY Hamiltonian in the momentum representation:

$$H^\pm = \frac{1}{2} \sum_{q \in \mathcal{S}^\pm} (c_q^\dagger, c_{-q}) \mathcal{H}_q \begin{pmatrix} c_q \\ c_{-q}^\dagger \end{pmatrix}, \quad (13)$$

$$\mathcal{H}_q = \epsilon_q \sigma^z + \Gamma_q (\sigma^+ + \sigma^-), \quad (14)$$

where $\epsilon_q \equiv -\cos q$ and $\Gamma_q \equiv \gamma \sin q$.

It is appropriate to introduce three quadratic operators $\mathcal{J}_q^\pm, \mathcal{J}_q^0$ expressed through the fermion operators c_q and c_q^\dagger :

$$\mathcal{J}_q^- = c_{-q} c_q, \quad \mathcal{J}_q^+ = c_q^\dagger c_{-q}^\dagger, \quad (15)$$

$$\mathcal{J}_q^0 = \frac{1}{2} (c_q^\dagger c_q + c_{-q}^\dagger c_{-q} - 1). \quad (16)$$

The operators (15), (16) are related to the algebra $\mathfrak{su}(2)$ since satisfy the commutation relations of the form (compare with (3)):

$$[\mathcal{J}_q^+, \mathcal{J}_p^-] = 2\mathcal{J}_q^0 \delta_{pq}, \quad [\mathcal{J}_q^0, \mathcal{J}_p^\pm] = \pm \mathcal{J}_q^\pm \delta_{pq}. \quad (17)$$

The definitions (15) and (16) allow us to express H^\pm (13) as follows:

$$H^\pm = \sum_{q \in \mathcal{S}^\pm} \epsilon_q \mathcal{J}_q^0 + \frac{\Gamma_q}{2} (\mathcal{J}_q^+ + \mathcal{J}_q^-). \quad (18)$$

Let us relate the canonical operators c_q^\dagger, c_q to the new fermionic operators A_q^\dagger, A_q by means of the unitary matrix $g_\theta^\dagger \in SU(2, \mathbb{R})$,

$$\begin{pmatrix} c_q \\ c_{-q}^\dagger \end{pmatrix} = g_\theta^\dagger \begin{pmatrix} A_q \\ A_{-q}^\dagger \end{pmatrix}, \quad (19)$$

$$g_\theta^\dagger = e^{-\theta_q(\sigma^- - \sigma^+)} = e^{i\theta_q\sigma^y} = \cos\theta_q\sigma^0 + \sin\theta_q(\sigma^+ - \sigma^-). \quad (20)$$

The transformation (19) and its conjugated are used in (13), and it enables to diagonalize the matrix \mathcal{H}_q (14) as follows:

$$g_\theta \mathcal{H}_q g_\theta^\dagger = E_q \sigma^z. \quad (21)$$

The relation (21) is equivalent to the following equations:

$$\epsilon_q \cos 2\theta_q - \Gamma_q \sin 2\theta_q = E_q, \quad (22)$$

$$\epsilon_q \sin 2\theta_q + \Gamma_q \cos 2\theta_q = 0, \quad (23)$$

where $E_q = (\epsilon_q^2 + \Gamma_q^2)^{1/2}$. It follows from (23) that θ_q respects $\tan 2\theta_q = -\Gamma_q/\epsilon_q$.

Let us introduce, analogously to (15), (16), the appropriate operators $\bar{\mathcal{J}}_q^\pm, \bar{\mathcal{J}}_q^0$ in terms of the fermion operators A_q and A_q^\dagger :

$$\bar{\mathcal{J}}_q^- = A_{-q}A_q, \quad \bar{\mathcal{J}}_q^+ = A_q^\dagger A_{-q}^\dagger, \quad (24)$$

$$\bar{\mathcal{J}}_q^0 = \frac{1}{2}(A_q^\dagger A_q + A_{-q}^\dagger A_{-q} - 1). \quad (25)$$

The following transformation takes place:

$$\mathcal{J}_q^- + \mathcal{J}_q^+ = (\bar{\mathcal{J}}_q^- + \bar{\mathcal{J}}_q^+) \cos 2\theta_q - 2\bar{\mathcal{J}}_q^0 \sin 2\theta_q, \quad (26)$$

$$2\mathcal{J}_q^0 = (\bar{\mathcal{J}}_q^- + \bar{\mathcal{J}}_q^+) \sin 2\theta_q + 2\bar{\mathcal{J}}_q^0 \cos 2\theta_q. \quad (27)$$

Applying the transformations (26) and (27) allows us to express the Hamiltonians H^\pm (18) as follows:

$$H^\pm = \sum_{q \in S^\pm} E_q \bar{\mathcal{J}}_q^0 = \sum_{q \in S^\pm} E_q A_q^\dagger A_q + E_{\text{gr}}^\pm, \quad (28)$$

provided that the relations (22) and (23) hold, and E_{gr}^\pm is expressed as

$$E_{\text{gr}}^\pm = \frac{-1}{2} \sum_{q \in S^\pm} E_q.$$

§3. THE GROUND STATE WAVE FUNCTION

The canonical operators c_k, c_k^\dagger , as well as c_q, c_q^\dagger , characterized by the relations (5) possess the Fock vacuum $|0\rangle$ (and its conjugate $\langle 0|$):

$$c_k|0\rangle = 0, \quad \langle 0|c_k^\dagger = 0, \quad k \in \mathcal{M}, \quad (29)$$

$$c_q|0\rangle = 0, \quad \langle 0|c_q^\dagger = 0, \quad q \in \mathcal{S}^\pm. \quad (30)$$

The vacuum $|0\rangle$ is normalized, $\langle 0|0\rangle = 1$, and $|0\rangle$ is the same for both Hamiltonians H^\pm .

The ground-state vector $|0\rangle\rangle$ of the Hamiltonian (28) have to satisfy the relations:

$$A_q|0\rangle\rangle = 0, \quad \langle\langle 0|A_q^\dagger = 0, \quad q \in \mathcal{S}^\pm. \quad (31)$$

We introduce the unitary operator \mathcal{U}_θ ,

$$\mathcal{U}_\theta \equiv \exp\left(\sum_{q \in \mathcal{S}^\pm} \theta_q (\mathcal{J}_q^+ - \mathcal{J}_q^-)\right) = \prod_{q \in \mathcal{S}^\pm} \exp(\theta_q (\mathcal{J}_q^+ - \mathcal{J}_q^-)), \quad (32)$$

where \mathcal{J}_q^- and \mathcal{J}_q^+ are defined by (15) and (17) and formulate the following

Proposition 1 *The relations (31) take place provided that the state $|0\rangle\rangle$ is defined as:*

$$|0\rangle\rangle = \mathcal{U}_{\theta/2} |0\rangle. \quad (33)$$

Proof. The commutation relations are valid:

$$[c_q, \sum_{p \in \mathcal{S}^\pm} \theta_p \mathcal{J}_p^+] = 2\theta_q c_{-q}^\dagger, \quad [c_q^\dagger, \sum_{p \in \mathcal{S}^\pm} \theta_p \mathcal{J}_p^+] = 0, \quad (34)$$

$$[c_{-q}^\dagger, \sum_{p \in \mathcal{S}^\pm} \theta_p \mathcal{J}_p^-] = 2\theta_q c_q, \quad [c_q, \sum_{p \in \mathcal{S}^\pm} \theta_p \mathcal{J}_p^-] = 0, \quad (35)$$

where the property $\theta_{-q} = -\theta_q$ is used. Taking into account (34) and (35) we obtain:

$$\mathcal{U}_{\theta/2} c_q \mathcal{U}_{\theta/2}^\dagger = A_q, \quad (36)$$

$$\mathcal{U}_{\theta/2} c_{-q}^\dagger \mathcal{U}_{\theta/2}^\dagger = A_{-q}^\dagger. \quad (37)$$

The state $|0\rangle\rangle$ (33) is annihilated by A_q (36) since c_q annihilates the Fock vacuum $|0\rangle$, Eq. (30), and $\mathcal{U}_{\theta/2}^\dagger \mathcal{U}_{\theta/2} = 1$. The introduced ground state-vector (33) is normalized to unity, $\langle\langle 0|0\rangle\rangle = 1$.

The alternative derivation of **Proposition 1** is based on the equivalence of the relations (36), (37) and the transformation (19). The action of operator A_q on the ground state (33) may be written as

$$A_q|0\rangle\rangle = (\cos\theta_q c_q - \sin\theta_q c_{-q}^\dagger)\mathcal{U}_{\theta/2}|0\rangle. \quad (38)$$

The commutation relations

$$c_q \mathcal{U}_{\theta/2} = \mathcal{U}_{\theta/2}(\cos\theta_q c_q + \sin\theta_q c_{-q}^\dagger), \quad (39)$$

$$c_{-q}^\dagger \mathcal{U}_{\theta/2} = \mathcal{U}_{\theta/2}(-\sin\theta_q c_q + \cos\theta_q c_{-q}^\dagger), \quad (40)$$

ensure that

$$A_q|0\rangle\rangle = \mathcal{U}_{\theta/2} c_q |0\rangle = 0. \quad (41)$$

□

The Gauss decomposition, [22], which may be obtained by means of ‘infinitesimal method’ [24], is valid for the matrix $g_\theta \equiv \exp(-i\theta\sigma^y) = \exp(\theta(\sigma^- - \sigma^+))$ (20):

$$e^{\theta(\sigma^- - \sigma^+)} = e^{-\tan\theta\sigma^+} e^{\bar{\gamma}\sigma^z} e^{\tan\theta\sigma^-}, \quad (42)$$

where $e^{\bar{\gamma}} = 1/\cos\theta$. With regard to (42) we arrive at the decomposition for the elements of the operator \mathcal{U}_θ (32):

$$\exp(\theta_q(\mathcal{J}_q^+ - \mathcal{J}_q^-)) = \exp(\tan\theta_q \mathcal{J}_q^+) \exp(2\bar{\gamma}_q \mathcal{J}_q^0) \exp(-\tan\theta_q \mathcal{J}_q^-), \quad (43)$$

where $e^{\bar{\gamma}_q} = 1/\cos\theta_q$. Equation (43) suggests to formulate the following

Proposition 2 *Provided that the representation (43) holds, the state $|0\rangle\rangle$ (33) acquires the equivalent representation:*

$$|0\rangle\rangle = \left(\prod_{q \in S^\pm} \cos^{1/2}\theta_q \right) \exp\left(\sum_{q \in S^\pm} \frac{\tan\theta_q}{2} \mathcal{J}_q^+ \right) |0\rangle. \quad (44)$$

Proof. First of all, the following note is of importance:

$$\mathcal{U}_{\theta/2} = \exp\left(\sum_{q \in S^\pm} \frac{\theta_q}{2} (\mathcal{J}_q^+ - \mathcal{J}_q^-) \right) = \exp\left(\sum_{q^+} \theta_q (\mathcal{J}_q^+ - \mathcal{J}_q^-) \right) \quad (45)$$

$$= \exp\left(\sum_{q \in S^\pm} \frac{\tan\theta_q}{2} \mathcal{J}_q^+ \right) \exp\left(\sum_{q \in S^\pm} \bar{\gamma}_q \mathcal{J}_q^0 \right) \exp\left(- \sum_{q \in S^\pm} \frac{\tan\theta_q}{2} \mathcal{J}_q^- \right), \quad (46)$$

where $\sum_{q^+} \equiv \sum_{\{(q \in S^\pm) \cap (q \in \mathbb{R}^+)\}}$, and antisymmetry of θ_q , \mathcal{J}_q^+ , \mathcal{J}_q^- with respect to the reflection of q is taken into account in (45). The Gauss decomposition (43) is used to pass from (45) to (46).

From definitions of operators \mathcal{J}_q^- (15) and \mathcal{J}_q^0 (16) it follows that $\mathcal{J}_q^-|0\rangle = 0$, while $\mathcal{J}_q^0|0\rangle = \frac{1}{2}|0\rangle$. Therefore,

$$\exp\left(\sum_{q \in S^\pm} \frac{\tan \theta_q}{2} \mathcal{J}_q^-\right)|0\rangle = |0\rangle, \quad (47)$$

and

$$\exp\left(\sum_{q \in S^\pm} \bar{\gamma}_q \mathcal{J}_q^0\right)|0\rangle = \prod_{q \in S^\pm} e^{-\bar{\gamma}_q/2} = \prod_{q \in S^\pm} \cos^{1/2} \theta_q. \quad (48)$$

Thus, we obtain from (46), (47) and (48) that (44) is valid. \square

The representation (44) of the ground state $|0\rangle\rangle$ coincides with that proposed in [20]:

$$|0\rangle\rangle = N^{-1/2} \Omega^+ |0\rangle, \quad \Omega^+ = \exp\left(\sum_{p \in S^\pm} \frac{\tan \theta_p}{2} c_p^\dagger c_{-p}^\dagger\right).$$

The normalizing factor $N^{-1/2}$, where $N = \langle 0 | \Omega \Omega^+ | 0 \rangle$ was calculated in [20] as the integral over the Grassmann coherent states, is equal to

$$N^{-1/2} = \prod_{p^+} \cos \theta_p, \quad (49)$$

and coincides with the coefficient in (44). The statement of **Proposition 2** clarifies the origin of this coefficient from the group theoretical viewpoint.

For the sake of completeness we shall give the direct proof that the state $|0\rangle\rangle$ expressed by (44) is annihilated by the operator A_q . Really, since A_q is given by (36), it is enough to show that the state

$$\mathcal{U}_{\theta/2}^\dagger \exp\left(\sum_{q \in S^\pm} \frac{\tan \theta_q}{2} \mathcal{J}_q^+\right)|0\rangle \quad (50)$$

is annihilated by c_q . The Gauss decomposition (46) admits the ‘‘antinormal’’ form looking as follows (see [22]):

$$\mathcal{U}_{\theta/2} = \exp\left(-\sum_{q \in S^\pm} \frac{\tan \theta_q}{2} \mathcal{J}_q^-\right) \exp\left(-\sum_{q \in S^\pm} \bar{\gamma}_q \mathcal{J}_q^0\right) \exp\left(\sum_{q \in S^\pm} \frac{\tan \theta_q}{2} \mathcal{J}_q^+\right). \quad (51)$$

Substituting the conjugated form of (51) into (50) we obtain the state annihilated by c_q :

$$\left(\prod_{q \in S^\pm} \cos^{-1/2} \theta_q\right) \exp\left(\sum_{q^+} \tan \theta_q \mathcal{J}_q^-\right)|0\rangle = \left(\prod_{q \in S^\pm} \cos^{-1/2} \theta_q\right) |0\rangle.$$

Let us turn to the state $|0\rangle\rangle$ (44) and consider the following representation:

$$\begin{aligned} \left(\prod_{q \in S^\pm} \cos^{-1/2} \theta_q \right) |0\rangle\rangle &= \exp\left(\sum_{q \in S^\pm} \frac{\tan \theta_q}{2} \mathcal{J}_q^+ \right) |0\rangle \\ &= |0\rangle + \sum_{n=1}^{M/2} \frac{1}{n!} \sum_{\{q_l^+\}_{1 \leq l \leq n}} \prod_{l=1}^n (T_{q_l} \mathcal{J}_{q_l}^+) |0\rangle, \quad T_{q_l} \equiv \tan \theta_{q_l}, \end{aligned} \quad (52)$$

where $\sum_{\{q_l^+\}_{1 \leq l \leq n}} \equiv \sum_{q_1^+, q_2^+, \dots, q_n^+}$, and the sum over n is finite since \mathcal{J}_q^+ squared is zero. The expression in right-hand side of (52) is similar to that derived in [19] as the ground state wave function of XY chain. Recall that $\tan 2\theta_q = -\Gamma_q/\epsilon_q$ (see (23)) is known, and therefore $\tan \theta_q$ is found in the form:

$$T_q = \frac{\epsilon_q \pm \sqrt{\epsilon_q^2 + \Gamma_q^2}}{\Gamma_q} = \frac{\epsilon_q \pm E_q}{\Gamma_q}, \quad (53)$$

where T_q is defined in (52). The answer (53) fulfils the quadratic equation as the corresponding parameter does in [19]. With regard to (53) it can be argued that Eq. (52) just coincides (up to irrelevant factor) with the ground state wave function found in [19].

§4. CONCLUSION

The expression for the ground ground state-vector (33) of the XY spin chain obtained by the group theoretical approach was written in the form (44) with the help of Gaussian decomposition. The representation (44) brought to the form (52) reveals the connection between state-vectors studied in [19] and [20].

The approach discussed in the present paper will allow to spread the combinatorial interpretation of the correlation functions developed in [2] for the XX model on the XY case.

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