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**INHERENTLY NON-FINITELY GENERATED
VARIETIES OF APERIODIC MONOIDS WITH
CENTRAL IDEMPOTENTS**

ABSTRACT. Let \mathcal{A} denote the class of aperiodic monoids with central idempotents. A subvariety of \mathcal{A} that is not contained in any finitely generated subvariety of \mathcal{A} is said to be *inherently non-finitely generated*. A characterization of inherently non-finitely generated subvarieties of \mathcal{A} , based on identities that they cannot satisfy and monoids that they must contain, is given. It turns out that there exists a unique minimal inherently non-finitely generated subvariety of \mathcal{A} , the inclusion of which is both necessary and sufficient for a subvariety of \mathcal{A} to be inherently non-finitely generated. Further, it is decidable in polynomial time if a finite set of identities defines an inherently non-finitely generated subvariety of \mathcal{A} .

§1. INTRODUCTION

Recall that a monoid is *aperiodic* if all its subgroups are trivial. The *index* of an aperiodic monoid is the least positive integer n for which the identity $x^{n+1} \approx x^n$ is satisfied by the monoid. The class \mathcal{A} of aperiodic monoids with central idempotents constitutes an important source of examples in the study of the finite basis problem; see Jackson [2], Jackson and Sapir [4], Lee [5], Perkins [10], and Sapir [11]. For each $n \geq 1$, let \mathbf{A}_n denote the variety of monoids from \mathcal{A} of index at most n . The variety \mathbf{A}_n is defined by the identities

$$x^{n+1} \approx x^n, \quad x^n y \approx y x^n \quad (\blacktriangle_n)$$

and the inclusions $\mathbf{A}_1 \subset \mathbf{A}_2 \subset \dots \subset \mathcal{A}$ hold and are proper. The class \mathcal{A} is not a variety, but each of its subvarieties is contained in \mathbf{A}_n for all sufficiently large n .

A finitely based, finitely generated variety that contains finitely many subvarieties is called a *Cross variety*. An *almost Cross variety* is a minimal non-Cross variety. By Zorn's lemma, each non-Cross variety contains

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some almost Cross subvariety. Recent work of Jackson [3] and Lee [5, 6] has led to a complete description of Cross subvarieties of \mathcal{A} : there exist precisely three almost Cross subvarieties of \mathcal{A} , denoted by \mathbf{J}_1 , \mathbf{J}_2 , and \mathbf{L} , the exclusion of which is both necessary and sufficient for a subvariety of \mathcal{A} to be Cross [9]. The varieties \mathbf{J}_1 and \mathbf{J}_2 are finitely generated [3] while the variety \mathbf{L} is non-finitely generated [9]; the variety \mathbf{L} is the subvariety of \mathbf{A}_2 defined by the identities

$$yhxy \approx yxhxy, \quad xhxy \approx xhyxy, \quad xhyxy \approx xhytx$$

and it plays a crucial role in the present investigation.

Unless otherwise specified, all varieties in the present article are subvarieties of \mathcal{A} . A subvariety \mathbf{V} of \mathcal{A} that is not contained in any finitely generated subvariety of \mathcal{A} is said to be *inherently non-finitely generated within \mathcal{A}* ; since this article concentrates only on subvarieties of \mathcal{A} , it is unambiguous to refer to such a variety \mathbf{V} simply as an *inherently non-finitely generated subvariety of \mathcal{A}* .¹ Although an inherently non-finitely generated subvariety of \mathcal{A} is vacuously non-finitely generated, the converse is not true in general. A non-finitely generated subvariety of \mathcal{A} that is not inherently non-finitely generated within \mathcal{A} is exhibited in Section 6, and it is the first explicitly described example of its kind.

The present article is devoted to the description of inherently non-finitely generated subvarieties of \mathcal{A} . After developing some preliminary results in Section 2, some identities that are satisfied by subvarieties of \mathcal{A} are introduced in Section 3. Section 4 is concerned with the investigation of the almost Cross variety \mathbf{L} , its subvarieties, and the identities it satisfies. In particular, the subvarieties of \mathbf{L} are shown to constitute a countably infinite chain. Based on results from Sections 2–4, a characterization of inherently non-finitely generated subvarieties of \mathcal{A} is established in Section 5; it includes identities that these varieties cannot satisfy and monoids that they must contain. It follows that the inclusion of the variety \mathbf{L} is both necessary and sufficient for any subvariety of \mathcal{A} to be inherently non-finitely generated within \mathcal{A} , whence \mathbf{L} is the unique minimal inherently non-finitely generated subvariety of \mathcal{A} . A polynomial time algorithm is also presented that decides, given a finite set Σ of identities that defines

¹Note that a subvariety of \mathcal{A} that is inherently non-finitely generated within \mathcal{A} may be contained in a finitely generated variety that is not a subvariety of \mathcal{A} . See Example 5.3.

a subvariety \mathbf{V} of \mathcal{A} , if the variety \mathbf{V} is inherently non-finitely generated within \mathcal{A} .

§2. PRELIMINARIES

Let \mathcal{X} be a countably infinite alphabet throughout. For any subset \mathcal{Y} of \mathcal{X} , let \mathcal{Y}^* denote the free monoid over \mathcal{Y} . Elements of \mathcal{X} and \mathcal{X}^* are called *letters* and *words*, respectively. An identity is written as $\mathbf{u} \approx \mathbf{v}$ where \mathbf{u} and \mathbf{v} are nonempty words; this identity is *nontrivial* if $\mathbf{u} \neq \mathbf{v}$. A monoid M *satisfies* an identity $\mathbf{u} \approx \mathbf{v}$ if, for any substitution φ from \mathcal{X} into M , the elements $\mathbf{u}\varphi$ and $\mathbf{v}\varphi$ of M coincide. A class of monoids *satisfies* an identity if every monoid in the class satisfies the identity. The variety *defined* by a set Σ of identities is the class of monoids that satisfy all identities in Σ ; in this case, Σ is a *basis* for the variety. A variety is *finitely based* if it possesses a finite basis.

Refer to the monograph of Burris and Sankappanavar [1] for more information on varieties of algebras in general.

2.1. Rees quotients of \mathcal{X}^* . For any set \mathcal{U} of words, let $S(\mathcal{U})$ denote the Rees quotient monoid of \mathcal{X}^* over the ideal of all words that are not factors of any word in \mathcal{U} . Equivalently, $S(\mathcal{U})$ can be treated as the monoid that consists of every factor of every word in \mathcal{U} , together with a zero element 0, with binary operation \cdot given by

$$\mathbf{u} \cdot \mathbf{v} = \begin{cases} \mathbf{uv} & \text{if } \mathbf{uv} \text{ is a factor of some word in } \mathcal{U}, \\ 0 & \text{otherwise.} \end{cases}$$

The empty factor, more conveniently written as 1, is the identity of the monoid $S(\mathcal{U})$. If $\mathcal{U} = \{\mathbf{u}_1, \dots, \mathbf{u}_m\}$, then write $S(\mathcal{U}) = S(\mathbf{u}_1, \dots, \mathbf{u}_m)$.

Example 2.1 (Jackson [3, Section 5]). The almost Cross varieties \mathbf{J}_1 and \mathbf{J}_2 introduced in Section 1 are generated by the monoids $S(xhxyty)$ and $S(xhytxy, xyhxyty)$, respectively. These varieties are non-finitely based.

A nonempty word \mathbf{u} is an *isoterm* for a variety \mathbf{V} if \mathbf{V} does not satisfy any nontrivial identity of the form $\mathbf{u} \approx \mathbf{v}$.

Lemma 2.2 (Jackson [3, Lemma 3.3]). *For any set \mathcal{U} of words and any variety \mathbf{V} , the monoid $S(\mathcal{U})$ belongs to \mathbf{V} if and only if every word in \mathcal{U} is an isoterm for \mathbf{V} .*

2.2. The Straubing identities. A variety is *finitely generated* if it is generated by a single finite monoid. The *Straubing identities*

$$x \prod_{i=1}^{n-1} (h_i x) \approx x^n \prod_{i=1}^{n-1} h_i, \tag{\star_n}$$

where $n \in \{2, 3, \dots\}$, play a significant role in the study of finitely generated subvarieties of \mathcal{A} .

Lemma 2.3 (Jackson and Sapir [4, Corollary 3.1]). *For each $n \geq 2$, the variety defined by the identities $\{\blacktriangle_n, \star_n\}$ is finitely generated.*

Lemma 2.4 (Straubing [13]). *Let \mathbf{V} be any subvariety of \mathcal{A} . If \mathbf{V} is finitely generated, then \mathbf{V} satisfies the identities $\{\blacktriangle_n, \star_n\}$ for some $n \geq 2$.*

The converse of Lemma 2.4 does not hold in general since a subvariety of \mathcal{A} that satisfies the identities $\{\blacktriangle_3, \star_3\}$ is shown in Section 6 to be non-finitely generated.

§3. RIGID WORDS AND RIGID IDENTITIES

Results established in the present section are required in Sections 4 and 5, where all subvarieties of \mathbf{L} and all inherently non-finitely generated subvarieties of \mathcal{A} are described.

Define a *rigid word* to be the word

$$\mathbf{u} = x^{e_0} \prod_{i=1}^m (h_i x^{e_i})$$

where $m \geq 0$ and $e_0, \dots, e_m \geq 0$; the number m is the *level* of the word \mathbf{u} . Note that a rigid word of level 0 is of the form x^e . The rigid word \mathbf{u} above is *square-free* if $e_0, \dots, e_m \leq 1$. A *rigid identity* is an identity that is formed by a pair of rigid words of the same level. Note that each Straubing identity \star_n is a rigid identity formed by rigid words of level $n - 1$.

Lemma 3.1. *Let \mathbf{V} be any subvariety of \mathcal{A} that satisfies a nontrivial rigid identity*

$$x^{e_0} \prod_{i=1}^m (h_i x^{e_i}) \approx x^{f_0} \prod_{i=1}^m (h_i x^{f_i}),$$

where at least one side of the identity is a square-free word. Suppose that at least one of the following conditions holds:

- (a) $m = 0$;

(b) $(e_0, \dots, e_m) = (0, \dots, 0)$;

(c) $(f_0, \dots, f_m) = (0, \dots, 0)$.

Then \mathbf{V} is commutative.

Proof. This lemma is routinely verified based on the assumption that the variety \mathbf{V} satisfies the identities \blacktriangle_n for some $n \geq 1$. \square

Lemma 3.2. *The variety \mathbf{A}_n satisfies the rigid identity*

$$x^{e_0} \prod_{i=1}^m (h_i x^{e_i}) \approx x^n \prod_{i=1}^m h_i \quad (3.1)$$

whenever $e_j \geq n$ for some $j \in \{0, \dots, m\}$.

Proof. It is easily shown that the basis \blacktriangle_n for \mathbf{A}_n implies the identity (3.1) whenever $e_j \geq n$ for some $j \in \{0, \dots, m\}$. \square

Lemma 3.3. *Suppose that \mathbf{V} is any subvariety of \mathcal{A} that satisfies some nontrivial rigid identity $\mathbf{u} \approx \mathbf{v}$ where either \mathbf{u} or \mathbf{v} is square-free. Then \mathbf{V} satisfies the Straubing identity \blackstar_k for some $k \geq 2$.*

Proof. By assumption, the variety \mathbf{V} satisfies the identities \blacktriangle_n for some $n \geq 2$ and

$$\mathbf{u} = x^{e_0} \prod_{i=1}^m (h_i x^{e_i}) \quad \text{and} \quad \mathbf{v} = x^{f_0} \prod_{i=1}^m (h_i x^{f_i})$$

for some $e_0, f_0, \dots, e_m, f_m \geq 0$ with $(e_0, \dots, e_m) \neq (f_0, \dots, f_m)$. Further, it suffices to assume that $m \geq 1$ and $(e_0, \dots, e_m), (f_0, \dots, f_m) \neq (0, \dots, 0)$, since otherwise the variety \mathbf{V} is commutative by Lemma 3.1 and so satisfies the identity \blackstar_2 .

Let $e = e_0 + \dots + e_m$ and $f = f_0 + \dots + f_m$. Without loss of generality, assume that one of the following cases holds:

- (a) \mathbf{u} is square-free and \mathbf{v} is not square-free;
- (b) \mathbf{u} and \mathbf{v} are both square-free with $0 < e \leq f$.

Then $e_0, \dots, e_m \leq 1$ in both (a) and (b). Since \mathbf{u} is a square-free rigid word and x occurs e times in \mathbf{u} , there exists an appropriate deletion φ_1 of the letters h_i such that

$$\mathbf{u}\varphi_1 = x \cdot h_{j_1} x \cdot h_{j_2} x \cdots h_{j_{e-1}} x,$$

where $1 \leq j_1 < \dots < j_{e-1} \leq m$. Let φ_2 denote the substitution that renames the letters $h_{j_1}, \dots, h_{j_{e-1}}$ by h_1, \dots, h_{e-1} . Then

$$\mathbf{u}\varphi_1\varphi_2 = x \prod_{i=1}^{e-1} (h_i x)$$

is a square-free rigid word of level $e - 1$.² Now perform the deletion φ_1 on \mathbf{v} followed by the substitution φ_2 on $\mathbf{v}\varphi_1$ to obtain $\mathbf{v}\varphi_1\varphi_2$. It is clear that in case (a), the word $\mathbf{v}\varphi_1\varphi_2$ is a rigid word of level $e - 1$ that is not square-free. In case (b), since the identity $\mathbf{u} \approx \mathbf{v}$ is nontrivial with $e \leq f$, the word $\mathbf{v}\varphi_1\varphi_2$ is also rigid and of level $e - 1$ that is not square-free. Therefore in both cases, $\mathbf{v}\varphi_1\varphi_2 = \mathbf{p}x^r\mathbf{q}$ for some $r \geq 2$ and $\mathbf{p}, \mathbf{q} \in \mathcal{X}^*$, whence the identity $\mathbf{u} \approx \mathbf{v}$ implies the rigid identity

$$x \prod_{i=1}^d (h_i x) \approx \mathbf{p}x^r\mathbf{q} \tag{3.2}$$

where $d = e - 1$. The identity (3.2) clearly implies a rigid identity of the form

$$x^r \prod_{i=1}^d (h_i x^r) \approx \mathbf{p}'x^{r^2}\mathbf{q}' \tag{3.3}$$

for some $\mathbf{p}', \mathbf{q}' \in \mathcal{X}^*$. Since

$$\begin{aligned} x \prod_{i=1}^{d^2+2d} (h_i x) &= \left(x \prod_{i=1}^d (h_i x) \right) h_{d+1} \left(x \prod_{i=d+2}^{2d+1} (h_i x) \right) h_{2d+2} \left(x \prod_{i=2d+3}^{3d+2} (h_i x) \right) \dots \\ &\quad \dots h_{d^2+d} \left(x \prod_{i=d^2+d+1}^{d^2+2d} (h_i x) \right) \\ &\stackrel{(3.2)}{\approx} (\dots x^r \dots) h_{d+1} (\dots x^r \dots) h_{2d+2} (\dots x^r \dots) \dots h_{d^2+d} (\dots x^r \dots) \\ &\stackrel{(3.3)}{\approx} \dots x^{r^2} \dots, \end{aligned}$$

the identity $\mathbf{u} \approx \mathbf{v}$ implies a rigid identity of the form (3.2) with r replaced by r^2 . The same argument can be repeated sufficiently many times so that the identity $\mathbf{u} \approx \mathbf{v}$ implies a rigid identity of the form (3.2) with r replaced some number r^s that is greater than n . Therefore generality is not lost by

²For instance, if $\mathbf{u} = h_1 x h_2 h_3 x h_4 h_5 h_6 x h_7 x$ where $e = 4$ and $m = 7$, then $\mathbf{u}\varphi_1 = x h_2 x h_4 x h_7 x$ and $\mathbf{u}\varphi_1\varphi_2 = x h_1 x h_2 x h_3 x$.

assuming that $r \geq n$ in (3.2) to begin with. Since $\mathbf{p}x^r\mathbf{q}$ is a rigid word of level d , it follows from Lemma 3.2 that

$$x^n \prod_{i=1}^d h_i \stackrel{(3.1)}{\approx} \mathbf{p}x^r\mathbf{q} \stackrel{(3.2)}{\approx} x \prod_{i=1}^d (h_i x).$$

The variety \mathbf{V} thus satisfies the identity

$$x \prod_{i=1}^d (h_i x) \approx x^n \prod_{i=1}^d h_i. \quad (3.4)$$

If $d = n - 1$, then the identity (3.4) is \star_n . If $d > n - 1$, then

$$x \prod_{i=1}^d (h_i x) \stackrel{(3.4)}{\approx} x^n \prod_{i=1}^d h_i \stackrel{\blacktriangle_n}{\approx} x^{d+1} \prod_{i=1}^d h_i$$

so that the variety \mathbf{V} satisfies the identity \star_d . If $d < n - 1$, then

$$x \prod_{i=1}^{n-1} (h_i x) \stackrel{(3.4)}{\approx} \left(x^n \prod_{i=1}^d h_i \right) \left(\prod_{i=d+1}^{n-1} (h_i x) \right) \stackrel{(3.1)}{\approx} x^n \prod_{i=1}^{n-1} h_i$$

so that the variety \mathbf{V} satisfies the identity \star_n . \square

§4. THE VARIETY \mathbf{L}

This section is concerned with the almost Cross variety \mathbf{L} . Recall from Section 1 that \mathbf{L} is defined by the identities \blacktriangle_2 and

$$xyhxy \approx yxhxy, \quad xhxy \approx xhyxy, \quad xhyxy \approx xhytyx. \quad (4.1)$$

Subsection 4.1 provides a complete description of all subvarieties of \mathbf{L} . For this purpose, the *reduced Straubing identities*

$$x \prod_{i=1}^{n-1} (h_i x) \approx x^2 \prod_{i=1}^{n-1} h_i, \quad (\star_n)$$

where $n \in \{2, 3, \dots\}$, are required. Define the set $\mathcal{W}_\infty = \{\mathbf{w}_2, \mathbf{w}_3, \dots\}$ where

$$\mathbf{w}_n = x \prod_{i=1}^{n-1} (h_i x)$$

is the word on the left side of the identity \star_n .

Subsection 4.2 demonstrates that it is decidable in polynomial time if an arbitrarily given identity is satisfied by \mathbf{L} .

4.1. Subvarieties of \mathbf{L} . For any set Σ of identities, let $\mathbf{L}\Sigma$ denote the subvariety of \mathbf{L} defined by Σ . For any set \mathcal{U} of words, let $\mathbf{S}(\mathcal{U})$ denote the variety generated by the monoid $\mathbf{S}(\mathcal{U})$. Let $\mathbf{0}$ denote the variety of trivial monoids.

Proposition 4.1. *The subvarieties of \mathbf{L} constitute the chain*

$$\mathbf{0} \subset \mathbf{S}(\emptyset) \subset \mathbf{S}(x) \subset \mathbf{S}(xy) \subset \mathbf{S}(w_2) \subset \mathbf{S}(w_3) \subset \dots \subset \mathbf{S}(W_\infty) = \mathbf{L}. \quad (4.2)$$

The proof of Proposition 4.1 is given at the end of the subsection.

Lemma 4.2. *Let $e_0, \dots, e_m \geq 0$ and $\ell \geq 2$ be such that $\ell \leq e_0 + \dots + e_m$. Then the identities $\{\blacktriangle_2, \star_\ell\}$ imply the identity*

$$x^{e_0} \prod_{i=1}^m (h_i x^{e_i}) \approx x^2 \prod_{i=1}^m h_i.$$

Consequently, the following inclusions hold:

$$\mathbf{L}\{\star_2\} \subseteq \mathbf{L}\{\star_3\} \subseteq \dots \subseteq \mathbf{L}. \quad (4.3)$$

Proof. Let $e = e_0 + \dots + e_m$. Then $e_0, \dots, e_m \geq 0$ and $\ell \leq e$ imply that

$$x^{e_0} \prod_{i=1}^m (h_i x^{e_i}) = \mathbf{q}_0 \left(x \prod_{i=1}^{\ell-1} (\mathbf{q}_i x) \right) \left(\prod_{i=\ell}^{e-1} (\mathbf{q}_i x) \right) \mathbf{q}_e$$

for some $\mathbf{q}_0, \dots, \mathbf{q}_e \in \mathcal{X}^*$ such that $\mathbf{q}_0 \dots \mathbf{q}_e = h_1 \dots h_m$. Hence

$$x^{e_0} \prod_{i=1}^m (h_i x^{e_i}) \stackrel{\star_\ell}{\approx} \mathbf{q}_0 \left(x^2 \prod_{i=1}^{\ell-1} \mathbf{q}_i \right) \left(\prod_{i=\ell}^{e-1} (\mathbf{q}_i x) \right) \mathbf{q}_e \stackrel{(3.1)}{\approx} x^2 \prod_{i=0}^e \mathbf{q}_i = x^2 \prod_{i=1}^m h_i,$$

where the second deduction holds by Lemma 3.2 with $n = 2$. □

Lemma 4.3. *The variety \mathbf{L} satisfies a nontrivial rigid identity $\mathbf{u} \approx \mathbf{v}$ if and only if both of the rigid words \mathbf{u} and \mathbf{v} are not square-free.*

Proof. This is easily verified by Lemma 3.2 and has been performed in Lee [9, Lemma 13]. □

Lemma 4.4 (Lee [7, Proposition 4.1]). *Let \mathbf{V} be any variety that satisfies the identities (4.1). Then each noncommutative subvariety of \mathbf{V} is defined by the identities (4.1) together with some set of rigid identities.*

Lemma 4.5. *The noncommutative subvarieties of \mathbf{L} are precisely the varieties in the chain (4.3).*

Proof. Let \mathbf{V} be any noncommutative proper subvariety of \mathbf{L} . Then the variety \mathbf{V} is Cross because \mathbf{L} is almost Cross. Since the variety \mathbf{L} satisfies the identities (4.1), it follows from Lemma 4.4 that $\mathbf{V} = \mathbf{L}\Sigma$ for some set Σ of rigid identities that are not satisfied by \mathbf{L} . By Lemma 2.4, the variety \mathbf{V} satisfies the identity \star_k for some $k \geq 2$. The identities \blacktriangle_2 and \star_k clearly imply the identity \star_k so that the variety \mathbf{V} satisfies \star_k . Let ℓ be the least possible integer for which the identity \star_ℓ is satisfied by \mathbf{V} .

Let $\mathbf{u} \approx \mathbf{v}$ be any identity from Σ . Then

$$\mathbf{u} = x^{e_0} \prod_{i=1}^m (h_i x^{e_i}) \quad \text{and} \quad \mathbf{v} = x^{f_0} \prod_{i=1}^m (h_i x^{f_i})$$

for some $e_0, f_0, \dots, e_m, f_m \geq 0$ with $(e_0, \dots, e_m) \neq (f_0, \dots, f_m)$. Further, it suffices to assume that $m \geq 1$ and $(e_0, \dots, e_m), (f_0, \dots, f_m) \neq (0, \dots, 0)$, since otherwise, the variety \mathbf{V} is commutative by Lemma 3.1, contradicting the assumption.

The identity $\mathbf{u} \approx \mathbf{v}$ is not satisfied by \mathbf{L} so that by Lemma 4.3, either \mathbf{u} or \mathbf{v} is square-free. Let $e = e_0 + \dots + e_m$ and $f = f_0 + \dots + f_m$. Without loss of generality, assume that one of the following cases holds:

- (a) \mathbf{u} is square-free and \mathbf{v} is not square-free;
- (b) \mathbf{u} and \mathbf{v} are both square-free with $0 < e \leq f$.

Following the arguments in the proof of Lemma 3.3, the identity $\mathbf{u} \approx \mathbf{v}$ implies the rigid identity

$$x \prod_{i=1}^{e-1} (h_i x) \approx \mathbf{p} x^r \mathbf{q} \tag{4.4}$$

for some $\mathbf{p}, \mathbf{q} \in \mathcal{X}^*$ and $r \geq 2$. Since \mathbf{V} is a subvariety of \mathbf{A}_2 , it follows that

$$x \prod_{i=1}^{e-1} (h_i x) \stackrel{(4.4)}{\approx} \mathbf{p} x^r \mathbf{q} \stackrel{(3.1)}{\approx} x^2 \prod_{i=1}^{e-1} h_i$$

by Lemma 3.2 with $n = 2$, whence \mathbf{V} satisfies the identity \star_e . The minimality of ℓ implies that $\ell \leq e$. In case (a), since $f_j \geq 2$ for some j , the deductions

$$\mathbf{u} = x^{e_0} \prod_{i=1}^m (h_i x^{e_i}) \stackrel{\blacktriangle_2, \star_\ell}{\approx} x^2 \prod_{i=1}^m h_i \stackrel{(3.1)}{\approx} x^{f_0} \prod_{i=1}^m (h_i x^{f_i}) = \mathbf{v}$$

hold, respectively, by Lemma 4.2 and Lemma 3.2 with $n = 2$. In case (b), the deductions

$$\mathbf{u} = x^{e_0} \prod_{i=1}^m (h_i x^{e_i}) \stackrel{\mathbf{A}_{2, \star_\ell}}{\approx} x^2 \prod_{i=1}^m h_i \stackrel{\mathbf{A}_{2, \star_\ell}}{\approx} x^{f_0} \prod_{i=1}^m (h_i x^{f_i}) = \mathbf{v}$$

hold by Lemma 4.2. In both cases, the identities $\{\mathbf{A}_{2, \star_\ell}\}$ imply the identity $\mathbf{u} \approx \mathbf{v}$. Since the identity $\mathbf{u} \approx \mathbf{v}$ from Σ is arbitrarily chosen,

$$\mathbf{L}\{\star_\ell\} = \mathbf{L}\Sigma = \mathbf{V}. \quad \square$$

Proof of Proposition 4.1. It is easily shown that the variety $\mathbf{S}(\emptyset)$ of semilattice monoids and the variety $\mathbf{S}(x)$ are the only nontrivial commutative subvarieties of \mathbf{L} . By Lemma 4.5, the subvarieties of \mathbf{L} constitute the chain

$$\mathbf{0} \subset \mathbf{S}(\emptyset) \subset \mathbf{S}(x) \subset \mathbf{L}\{\star_2\} \subseteq \mathbf{L}\{\star_3\} \subseteq \dots \subseteq \mathbf{L}.$$

It is known that $\mathbf{S}(xy) = \mathbf{L}\{\star_2\}$ [3, Lemma 4.5]. For each $n \geq 2$, it is routinely shown that the monoid $\mathbf{S}(\mathbf{w}_n)$ satisfies the identities $\{\mathbf{A}_{2, (4.1), \star_{n+1}}\}$ but does not satisfy the identity \star_n , whence

$$\mathbf{S}(\mathbf{w}_n) = \mathbf{L}\{\star_{n+1}\} \neq \mathbf{L}\{\star_n\} \quad \text{and} \quad \mathbf{S}(\mathcal{W}_\infty) = \mathbf{L} \neq \mathbf{L}\{\star_n\}.$$

Consequently, the subvarieties of \mathbf{L} constitute the chain (4.2). □

4.2. Identities satisfied by \mathbf{L} . The *content* of a word \mathbf{u} , denoted by $\text{con}(\mathbf{u})$, is the set of letters occurring in \mathbf{u} . A letter of a word \mathbf{u} is *simple* if it occurs exactly once in \mathbf{u} ; otherwise, it is *non-simple* in \mathbf{u} .

Suppose that the simple letters of a word \mathbf{u} are h_1, \dots, h_m when listed in order of first occurrence, and that the distinct non-simple letters of \mathbf{u} are x_1, \dots, x_r when listed in alphabetical order. Then the word \mathbf{u} is in *canonical form* if

$$\mathbf{u} = \mathbf{u}' \mathbf{u}_0 \prod_{i=1}^m (h_i \mathbf{u}_i) \tag{4.5}$$

where

- (CF1) $\mathbf{u}' = x_1^{e_1} \dots x_r^{e_r}$ for some $e_1, \dots, e_r \in \{0, 2\}$;
- (CF2) $\mathbf{u}_0, \dots, \mathbf{u}_m \in \{x_1^{f_1} \dots x_r^{f_r} \mid f_1, \dots, f_r \in \{0, 1\}\}$;
- (CF3) $\text{con}(\mathbf{u}') \cap \text{con}(\mathbf{u}_0 \dots \mathbf{u}_m) = \emptyset$.

Note that if the word \mathbf{u} in (4.5) contains only simple letters, then $\mathbf{u} = \prod_{i=1}^m h_i$; if it contains only non-simple letters, then $\mathbf{u} = \mathbf{u}' = x_1^2 \dots x_r^2$.

Lemma 4.6. *For any word \mathbf{u} , there exists some word $\hat{\mathbf{u}}$ in canonical form such that the identities $\{\blacktriangle_2, (4.1)\}$ imply the identity $\mathbf{u} \approx \hat{\mathbf{u}}$.*

Proof. It suffices to convert the word \mathbf{u} , using the identities $\{\blacktriangle_2, (4.1)\}$, into a word in canonical form. Without loss of generality, assume that the simple letters of \mathbf{u} are h_1, \dots, h_m when listed in order of first occurrence, and that the distinct non-simple letters of \mathbf{u} are x_1, \dots, x_r when listed in alphabetical order. Then

$$\mathbf{u} = \mathbf{u}_0 \prod_{i=1}^m (h_i \mathbf{u}_i)$$

for some $\mathbf{u}_0, \dots, \mathbf{u}_m \in \{x_1, \dots, x_r\}^*$.

- (I) For each $i \in \{0, \dots, m\}$, since the letters of \mathbf{u}_i are non-simple in \mathbf{u} , they can be alphabetically ordered within \mathbf{u}_i by the identities (4.1). Hence each \mathbf{u}_i can be converted to a word of the form $x_1^{f_1} \cdots x_r^{f_r}$ with $f_1, \dots, f_r \geq 0$.
- (II) For each $j \in \{1, \dots, r\}$, if a square x_j^2 occurs as a factor in some of $\mathbf{u}_0, \dots, \mathbf{u}_m$, then the identities \blacktriangle_2 can be used to gather every x_j in \mathbf{u} to the left. This forms the prefix $\mathbf{u}' = x_1^{e_1} \cdots x_r^{e_r}$ with $e_1, \dots, e_r \in \{0, 2, 3, \dots\}$ such that (CF3) is satisfied. Further, (CF2) is satisfied since all squares are removed from $\mathbf{u}_0, \dots, \mathbf{u}_m$.
- (III) If an exponent e_j in \mathbf{u}' is 3 or greater, then apply the identity $x^3 \approx x^2$ from \blacktriangle_2 to reduce e_j to 2. Hence (CF1) is satisfied. \square

Lemma 4.7. *Given any identity $\mathbf{u} \approx \mathbf{v}$, there exists a polynomial time algorithm that decides if the variety \mathbf{L} satisfies the identity $\mathbf{u} \approx \mathbf{v}$.*

Proof. By Lemma 4.6, there exist words $\hat{\mathbf{u}}$ and $\hat{\mathbf{v}}$ in canonical form such that the identities $\{\blacktriangle_2, (4.1)\}$ imply the identities $\mathbf{u} \approx \hat{\mathbf{u}}$ and $\mathbf{v} \approx \hat{\mathbf{v}}$. Hence the variety \mathbf{L} satisfies the identity $\mathbf{u} \approx \mathbf{v}$ if and only if it satisfies the identity $\hat{\mathbf{u}} \approx \hat{\mathbf{v}}$. By Lemma 2.2 and Proposition 4.1, the words $\{x, x^2\} \cup \mathcal{W}_\infty$ are all isotermers for \mathbf{L} . It is then routinely shown that the variety \mathbf{L} satisfies the identity $\hat{\mathbf{u}} \approx \hat{\mathbf{v}}$ if and only if the words $\hat{\mathbf{u}}$ and $\hat{\mathbf{v}}$ are identical.

Steps (I)–(III) in the proof of Lemma 4.6 provide a polynomial time algorithm that converts the words \mathbf{u} and \mathbf{v} , using the identities $\{\blacktriangle_2, (4.1)\}$, into the words $\hat{\mathbf{u}}$ and $\hat{\mathbf{v}}$ in canonical form. \square

§5. MAIN RESULTS

Theorem 5.1. *The following statements on any subvariety \mathbf{V} of \mathcal{A} are equivalent:*

- (a) \mathbf{V} is inherently non-finitely generated within \mathcal{A} ;
- (b) for any $n \geq 2$, the Straubing identity

$$\star_n: x \prod_{i=1}^{n-1} (h_i x) \approx x^n \prod_{i=1}^{n-1} h_i$$

is not satisfied by \mathbf{V} ;

- (c) for any $n \geq 2$, the word

$$\mathbf{w}_n = x \prod_{i=1}^{n-1} (h_i x)$$

is an isoterm for \mathbf{V} ;

- (d) for any $n \geq 2$, the monoid $S(\mathbf{w}_n)$ belongs to \mathbf{V} ;
- (e) the almost Cross variety \mathbf{L} is a subvariety of \mathbf{V} .

Proof. (a) \Rightarrow (b). Suppose that for some $n \geq 2$, the variety \mathbf{V} satisfies the identity \star_n . Then \mathbf{V} satisfies the identities $\{\blacktriangle_k, \star_k\}$ for all sufficiently large k . By Lemma 2.3, the variety defined by $\{\blacktriangle_k, \star_k\}$ is a finitely generated subvariety of \mathbf{A}_k . Therefore \mathbf{V} is a subvariety of \mathbf{A}_k and so is not inherently non-finitely generated within \mathcal{A} .

(b) \Rightarrow (c). Suppose that for some $n \geq 2$, the word \mathbf{w}_n is not an isoterm for \mathbf{V} . Then the variety \mathbf{V} satisfies some nontrivial identity

$$x \prod_{i=1}^{n-1} (h_i x) \approx \mathbf{v}.$$

CASE 1. The following conditions hold:

- $\text{con}(\mathbf{v}) = \{x, h_1, \dots, h_{n-1}\}$;
- h_1, \dots, h_{n-1} are simple in \mathbf{v} ;
- for any i , the letter h_i occurs before h_{i+1} in \mathbf{v} .

Then

$$\mathbf{v} = x^{e_0} \prod_{i=1}^{n-1} (h_i x^{e_i})$$

for some $e_0, \dots, e_n \geq 0$ with $(e_0, \dots, e_n) \neq (1, \dots, 1)$. By Lemma 3.3, the variety \mathbf{V} satisfies the identity \star_k for some $k \geq 2$.

CASE 2. Any one of the three conditions in Case 1 fails. Then it is straightforwardly shown that the variety \mathbf{V} is either commutative or idempotent, whence it satisfies the identity \star_2 .

(c) \Leftrightarrow (d) \Leftrightarrow (e). These follow from Lemma 2.2 and Proposition 4.1.

(e) \Rightarrow (a). Suppose that the variety \mathbf{V} is not inherently non-finitely generated within \mathcal{A} . Then it follows from Lemma 2.4 that \mathbf{V} satisfies the identities $\{\blacktriangle_n, \star_n\}$ for some $n \geq 2$. But by Lemma 4.3, the variety \mathbf{L} does not satisfy the identity \star_n and so cannot be a subvariety of \mathbf{V} . \square

Corollary 5.2. *The almost Cross variety \mathbf{L} is the unique minimal inherently non-finitely generated subvariety of \mathcal{A} .*

The following example demonstrates that subvarieties of \mathcal{A} that are inherently non-finitely generated within \mathcal{A} need not be inherently non-finitely generated within the class \mathcal{M} of all monoids. (Another explicit example can be found in Lee [8, Proposition 6.9].)

Example 5.3. Let \mathbf{B}_2^1 denote the variety generated by the Brandt monoid

$$B_2^1 = \langle a, b \mid a^2 = b^2 = 0, aba = a, bab = b \rangle \cup \{1\}$$

of order six. Then \mathbf{L} is a subvariety of \mathbf{B}_2^1 , but \mathbf{B}_2^1 is not a subvariety of \mathcal{A} .

Proof. The idempotent ab of B_2^1 is not central since $ab \cdot a \neq a \cdot ab$. Hence \mathbf{B}_2^1 is not a subvariety of \mathcal{A} . It is routinely verified that for each $n \geq 2$, the word \mathbf{w}_n is an isoterms for the variety \mathbf{B}_2^1 so that by Lemma 2.2, the monoid $S(\mathbf{w}_n)$ belongs to \mathbf{B}_2^1 . It follows from Proposition 4.1 that \mathbf{L} is a subvariety of \mathbf{B}_2^1 . \square

Presently, the variety of idempotent monoids is the only known example of a variety of monoids that is minimal with respect to being inherently non-finitely generated within \mathcal{M} [12].

Theorem 5.4. *Suppose that Σ is any finite set of identities that defines a subvariety \mathbf{V} of \mathcal{A} . Then there exists a polynomial time algorithm that decides if \mathbf{V} is inherently non-finitely generated within \mathcal{A} .*

Proof. By assumption, the variety \mathbf{V} is a subvariety of \mathbf{A}_n for some $n \geq 1$. Hence generality is not lost by assuming that Σ contains the identities \blacktriangle_n . By Lemma 4.7, there exists a polynomial time algorithm that decides if the variety \mathbf{L} satisfies the identities in Σ . The result now follows from Theorem 5.1. \square

§6. A NON-FINITELY GENERATED SUBVARIETY OF \mathbf{A}_2

Let \mathbf{N} denote the variety defined by the identities \blacktriangle_2 , \blackstar_3 , and

$$xhytxy \approx xhytyx, \quad (6.1)$$

$$xyhxtx \approx yxhxtx. \quad (6.2)$$

The main aim of the present section is to show that the variety \mathbf{N} is non-finitely generated. But since the variety \mathbf{N} satisfies the identities $\{\blacktriangle_3, \blackstar_3\}$, it follows from Lemma 2.3 that \mathbf{N} is not inherently non-finitely generated within \mathcal{A} .

Lemma 6.1. *The variety \mathbf{N} satisfies the identities*

$$x^2hxtx \approx xhx^2tx \approx xhxtx^2 \approx xhxtx, \quad (6.3)$$

$$xh^2yxtx \approx x^2h^2yx^2tx, \quad (6.4)$$

$$xhyxt^2y \approx xhy^2xt^2y^2. \quad (6.5)$$

Proof. It is easily shown that the variety \mathbf{N} satisfies the identities (6.3). Since

$$xh^2yxtx \stackrel{\blacktriangle_2}{\approx} h^2xyxtx \stackrel{(6.2)}{\approx} h^2yx^2tx \stackrel{\blacktriangle_2}{\approx} h^2yx^4tx \stackrel{\blacktriangle_2}{\approx} x^2h^2yx^2tx,$$

$$xhyxt^2y \stackrel{\blacktriangle_2}{\approx} xhyxyt^2 \stackrel{(6.1)}{\approx} xhy^2xt^2 \stackrel{\blacktriangle_2}{\approx} xhy^4xt^2 \stackrel{\blacktriangle_2}{\approx} xhy^2xt^2y^2,$$

the variety \mathbf{N} satisfies the identities (6.4) and (6.5). \square

Lemma 6.2. *For each $n \geq 2$, the variety \mathbf{N} does not satisfy the identity*

$$\mathbf{z}_n \approx \mathbf{z}'_n,$$

where

$$\mathbf{z}_n = x_0h \left(\prod_{i=0}^n (x_{i+1}x_i) \right) tx_{n+1} = x_0h \cdot x_1x_0 \cdot x_2x_1 \cdots x_{n+1}x_n \cdot tx_{n+1},$$

$$\mathbf{z}'_n = x_0hx_0 \left(\prod_{i=1}^n x_i^2 \right) x_{n+1}tx_{n+1} = x_0hx_0 \cdot x_1^2x_2^2 \cdots x_n^2 \cdot x_{n+1}tx_{n+1}.$$

Proof. First observe that

- (a) any letter occurs at most twice in the word \mathbf{z}_n ;
- (b) the word \mathbf{z}_n does not contain any factor of the form \mathbf{x}^2 ;
- (c) the word \mathbf{z}_n does not contain any factor of the form \mathbf{xhytxy} or \mathbf{xyhxtx} , where $\mathbf{x}, \mathbf{y}, \mathbf{h}, \mathbf{t} \in \mathcal{X}^*$ with $\mathbf{x}, \mathbf{y} \neq \emptyset$.

It is then easily seen that it is impossible to convert the word \mathbf{z}_n into a different word by applying only the identities \blacktriangle_2 , \blackstar_3 , (6.1), and (6.2). It follows that the variety \mathbf{N} does not satisfy the identity $\mathbf{z}_n \approx \mathbf{z}'_n$. \square

Lemma 6.3. *Any finite monoid in the variety \mathbf{N} satisfies the identity $\mathbf{z}_n \approx \mathbf{z}'_n$ for all sufficient large $n \geq 2$.*

Proof. Let M be any finite monoid in the variety \mathbf{N} and fix any $n > |M|$. Suppose that φ is any substitution into the monoid M . Then it is shown in the following that $\mathbf{z}_n\varphi = \mathbf{z}'_n\varphi$ in M . Consequently, the monoid M satisfies the identity $\mathbf{z}_n \approx \mathbf{z}'_n$.

For notational brevity, write $x\varphi = \hat{x}$. Since $n > |M|$, the list $\hat{x}_1, \dots, \hat{x}_n$ of elements from M must contain some repetition, say $\hat{x}_i = \hat{x}_j$ with $1 \leq i < j \leq n$.

CASE 1. $1 < i < j \leq n$. Note that the letter x_i occurs twice in the word \mathbf{z}_n . Since $\hat{x}_i = \hat{x}_j$, the element \hat{x}_i occurs at least thrice in the product $\mathbf{z}_n\varphi$, whence the identities (6.3) can be applied to replace any \hat{x}_i in $\mathbf{z}_n\varphi$ by \hat{x}_i^2 :

$$\begin{aligned} \mathbf{z}_n\varphi &= \cdots \hat{x}_{i-1}\hat{x}_{i-2} \cdot \hat{x}_i\hat{x}_{i-1} \cdot \hat{x}_{i+1}\hat{x}_i \cdot \hat{x}_{i+2}\hat{x}_{i+1} \cdots \\ &\stackrel{(6.3)}{=} \cdots \hat{x}_{i-1}\hat{x}_{i-2} \cdot \hat{x}_i^2\hat{x}_{i-1} \cdot \hat{x}_{i+1}\hat{x}_i^2 \cdot \hat{x}_{i+2}\hat{x}_{i+1} \cdots . \end{aligned}$$

Then the identity (6.4) can be applied to replace \hat{x}_{i+1} by \hat{x}_{i+1}^2 , and the identity (6.5) can be applied to replace \hat{x}_{i-1} by \hat{x}_{i-1}^2 :

$$\begin{aligned} &\cdots \hat{x}_{i-1}\hat{x}_{i-2} \cdot \hat{x}_i^2\hat{x}_{i-1} \cdot \hat{x}_{i+1}\hat{x}_i^2 \cdot \hat{x}_{i+2}\hat{x}_{i+1} \cdots \\ &\stackrel{(6.4)}{=} \cdots \hat{x}_{i-1}\hat{x}_{i-2} \cdot \hat{x}_i^2\hat{x}_{i-1} \cdot \hat{x}_{i+1}^2\hat{x}_i^2 \cdot \hat{x}_{i+2}\hat{x}_{i+1} \cdots \\ &\stackrel{(6.5)}{=} \cdots \hat{x}_{i-1}^2\hat{x}_{i-2} \cdot \hat{x}_i^2\hat{x}_{i-1}^2 \cdot \hat{x}_{i+1}^2\hat{x}_i^2 \cdot \hat{x}_{i+2}\hat{x}_{i+1} \cdots . \end{aligned}$$

This procedure can be repeated until $\hat{x}_1, \dots, \hat{x}_n$ are replaced by $\hat{x}_1^2, \dots, \hat{x}_n^2$. Hence

$$\begin{aligned} \mathbf{z}_n\varphi &= \hat{x}_0\hat{h} \cdot \hat{x}_1^2\hat{x}_0 \cdot \hat{x}_2^2\hat{x}_1^2 \cdot \hat{x}_3^2\hat{x}_2^2 \cdots \hat{x}_n^2\hat{x}_{n-1}^2 \cdot \hat{x}_{n+1}\hat{x}_n^2 \cdot \hat{t}\hat{x}_{n+1} \\ &\stackrel{\blacktriangle_2}{=} \hat{x}_0\hat{h}\hat{x}_0 \cdot \hat{x}_1^2 \cdots \hat{x}_n^2 \cdot \hat{x}_{n+1}\hat{t}\hat{x}_{n+1} = \mathbf{z}'_n\varphi. \end{aligned}$$

CASE 2. $1 = i < j \leq n$. Note that the letter x_1 occurs twice in the word \mathbf{z}_n . Since $\hat{x}_1 = \hat{x}_j$, the element \hat{x}_1 occurs at least thrice in the product $\mathbf{z}_n\varphi$, whence the identities (6.3) can be applied to replace any \hat{x}_1 in $\mathbf{z}_n\varphi$ by \hat{x}_1^2 :

$$\mathbf{z}_n\varphi = \hat{x}_0\hat{h} \cdot \hat{x}_1\hat{x}_0 \cdot \hat{x}_2\hat{x}_1 \cdot \hat{x}_3\hat{x}_2 \cdots \stackrel{(6.3)}{=} \hat{x}_0\hat{h} \cdot \hat{x}_1^2\hat{x}_0 \cdot \hat{x}_2\hat{x}_1^2 \cdot \hat{x}_3\hat{x}_2 \cdots .$$

The identity (6.4) can then be applied to replace \widehat{x}_2 by \widehat{x}_2^2 :

$$\widehat{x}_0 \widehat{h} \cdot \widehat{x}_1^2 \widehat{x}_0 \cdot \widehat{x}_2 \widehat{x}_1^2 \cdot \widehat{x}_3 \widehat{x}_2 \cdots \stackrel{(6.4)}{=} \widehat{x}_0 \widehat{h} \cdot \widehat{x}_1^2 \widehat{x}_0 \cdot \widehat{x}_2^2 \widehat{x}_1^2 \cdot \widehat{x}_3 \widehat{x}_2^2 \cdots .$$

This procedure can be repeated until $\widehat{x}_1, \dots, \widehat{x}_n$ are replaced by $\widehat{x}_1^2, \dots, \widehat{x}_n^2$. The equality $\mathbf{z}_n \varphi = \mathbf{z}'_n \varphi$ is then deduced in the same manner as in Case 1. \square

Theorem 6.4. *The variety \mathbf{N} is non-finitely generated.*

Proof. If the variety \mathbf{N} is finitely generated, then by Lemma 6.3, it satisfies the identity $\mathbf{z}_n \approx \mathbf{z}'_n$ for some $n \geq 1$. But this contradicts Lemma 6.2. \square

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